

# **AIRS Radiance Validation**

**Hank Revercomb, Dave Tobin, Ken Vinson  
And the Whole S-HIS Team  
Space Science and Engineering Center,  
University of Wisconsin-Madison**

**10 March 2006  
AIRS Science Team Meeting  
CalTech**



# Calibration Emphasis



**Make full use of the fundamental advantage of high resolution infrared spectra to provide a new standard of accuracy for weather and climate applications**

- High spectral resolution does offer inherent advantages for calibration accuracy (Goody and Haskins, 1998)
- S-HIS verifies highly accurate AIRS radiometric calibration-better than originally specified
- Characterizing the nature of small differences should lead to improvements in remote sensing
- The high resolution calibration advantage has also been transferred to lower resolution IR instruments, like MODIS

**Now concerned with tenths of K, not 1 K!**

# Topics



👉 **S-HIS summary**

👉 **Radiometric Calibration**

✓👉 **AIRS Radiance Validation with S-HIS**

5 cases cover Tropics to Arctic,  
Day and Night, Land and Sea  
(thanks to IPO, DOE, and NASA AURA  
validation & science support)

✓👉 **Artifacts of Individual Spectra**

✗👉 **Summary**



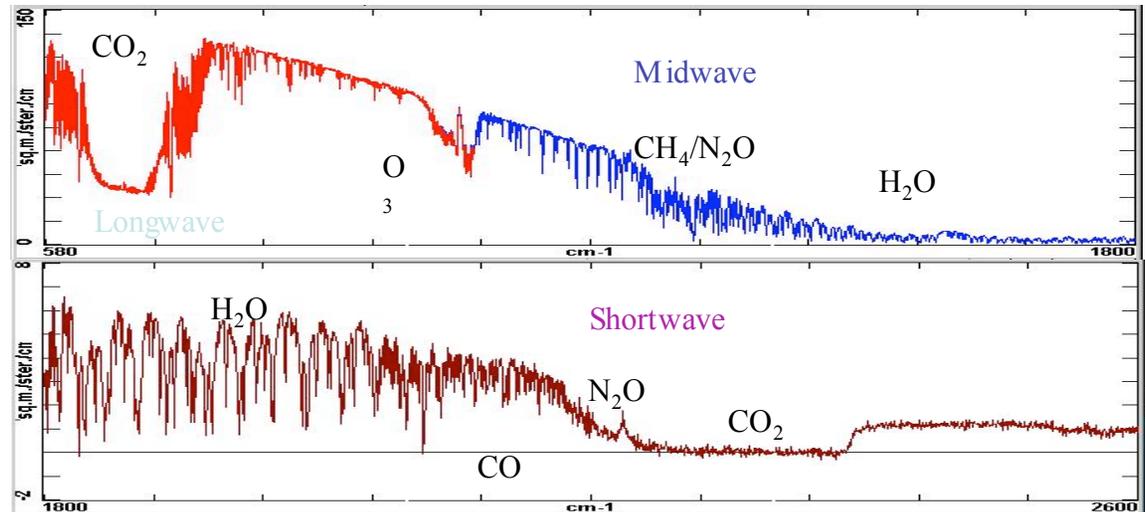
# 1. S-HIS summary

# UW Scanning HIS: 1998-Present

## HIS: High Resolution Interferometer Sounder (1985-1998)

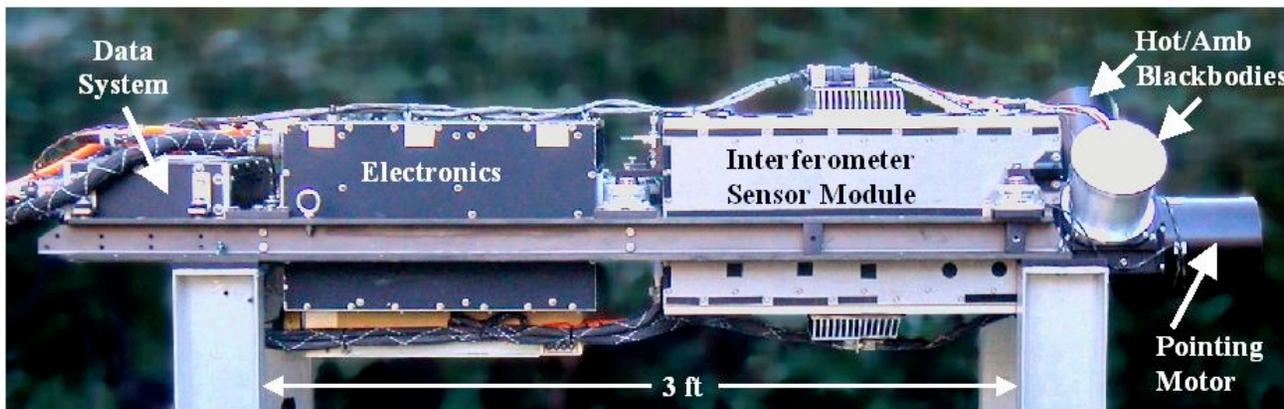
### Characteristics

- Spectral Coverage:** 3-17 microns
- Spectral Resolution:**  $0.5 \text{ cm}^{-1}$
- Resolving power:** 1000-6000
- Footprint Diam:** 1.5 km @ 15 km
- Cross-Track Scan:** Programmable including uplooking zenith view



### Applications:

- ◆ Radiances for Radiative Transfer
- ◆ Temp & Water Vapor Retrievals
- ◆ Cloud Radiative Prop.
- ◆ Surface Emissivity & T
- ◆ Trace Gas Retrievals



# S-HIS for CRAVE

January 2006



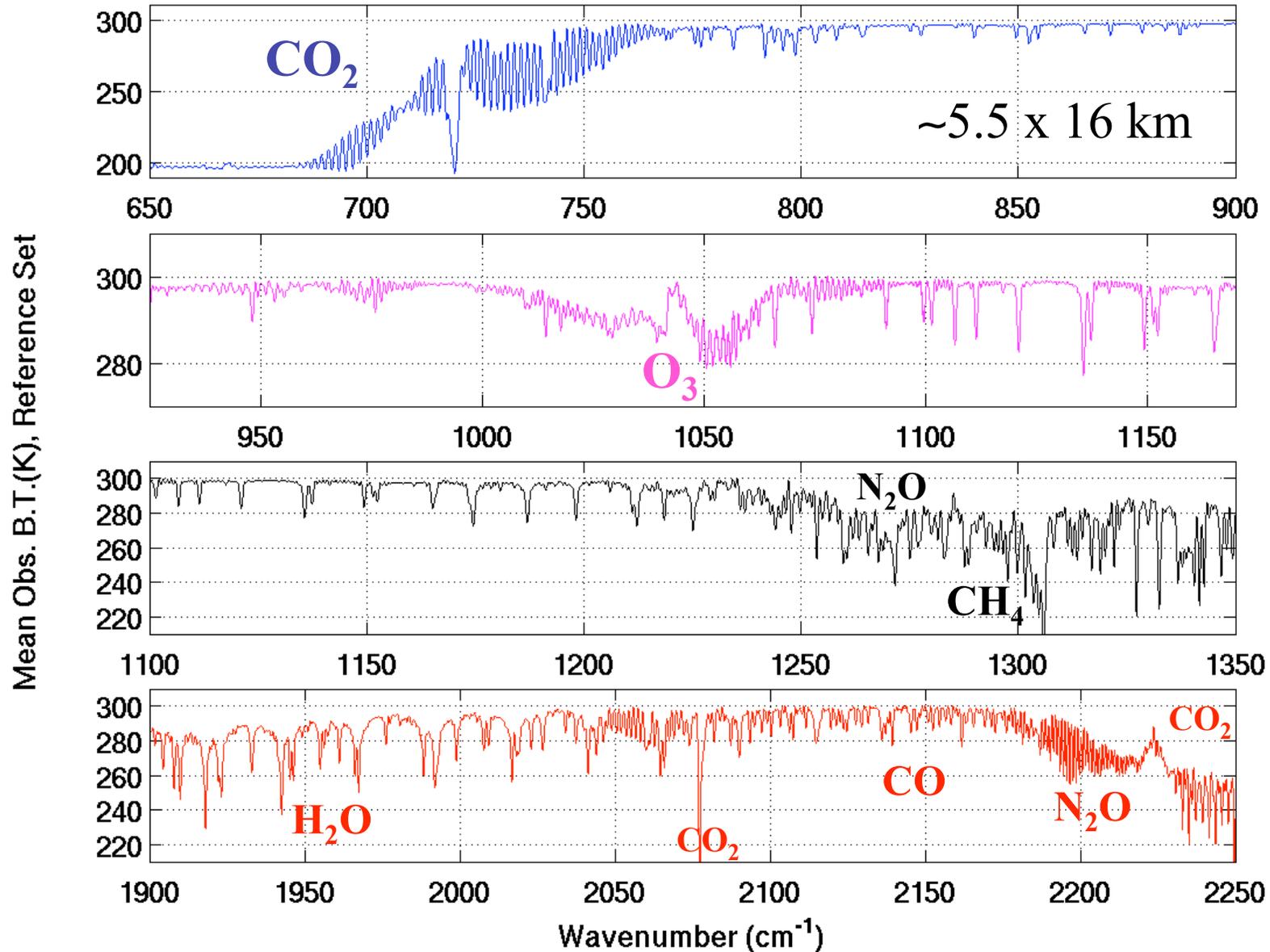
**AURA Validation  
Experiment-Costa Rica**

**S-HIS**  
scans cross-  
track downward  
&  
looks upward

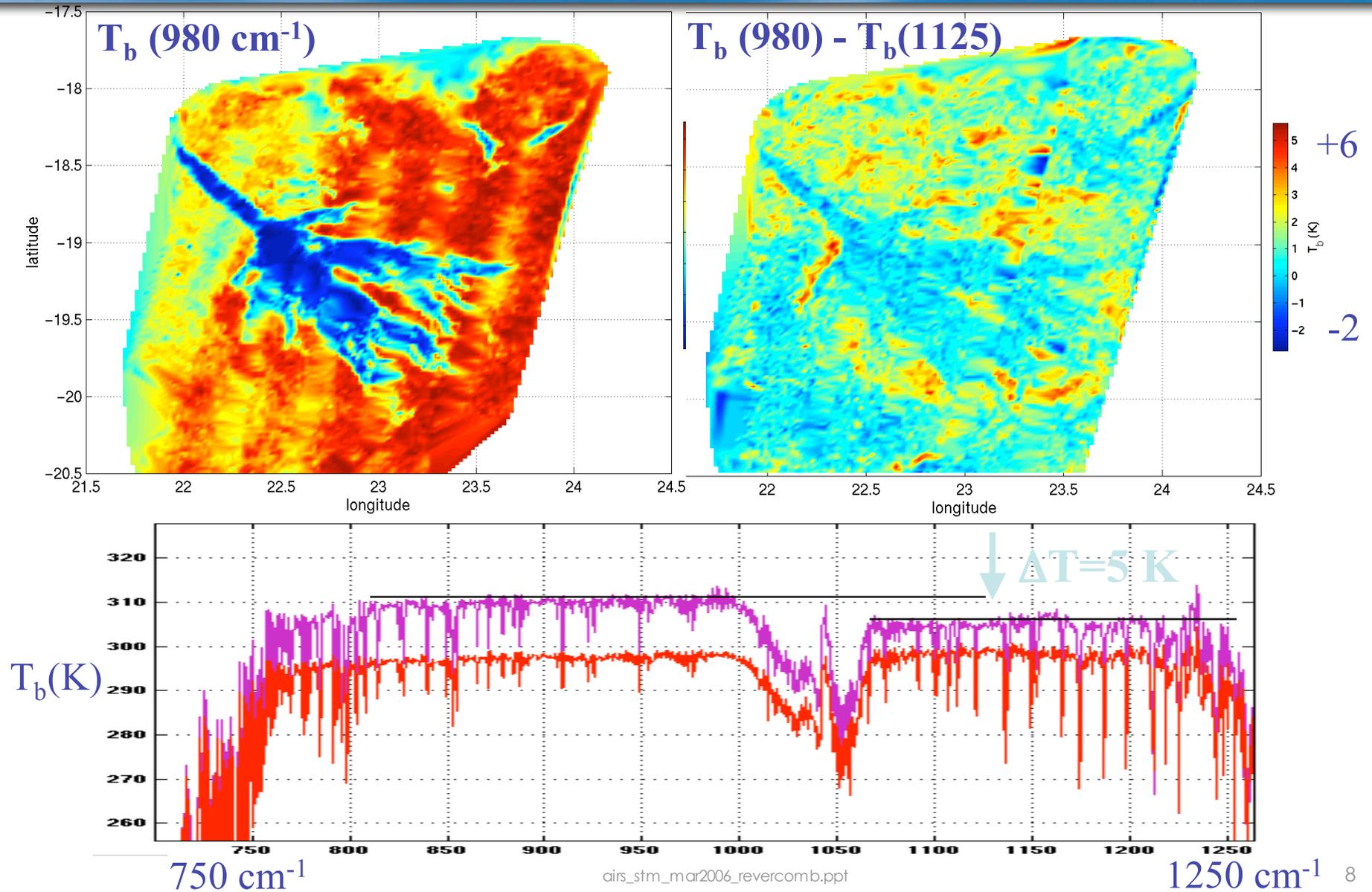


# S-HIS –Tropospheric Emission Spectrometer (TES) Bands near 31 Oct 2004 overpass

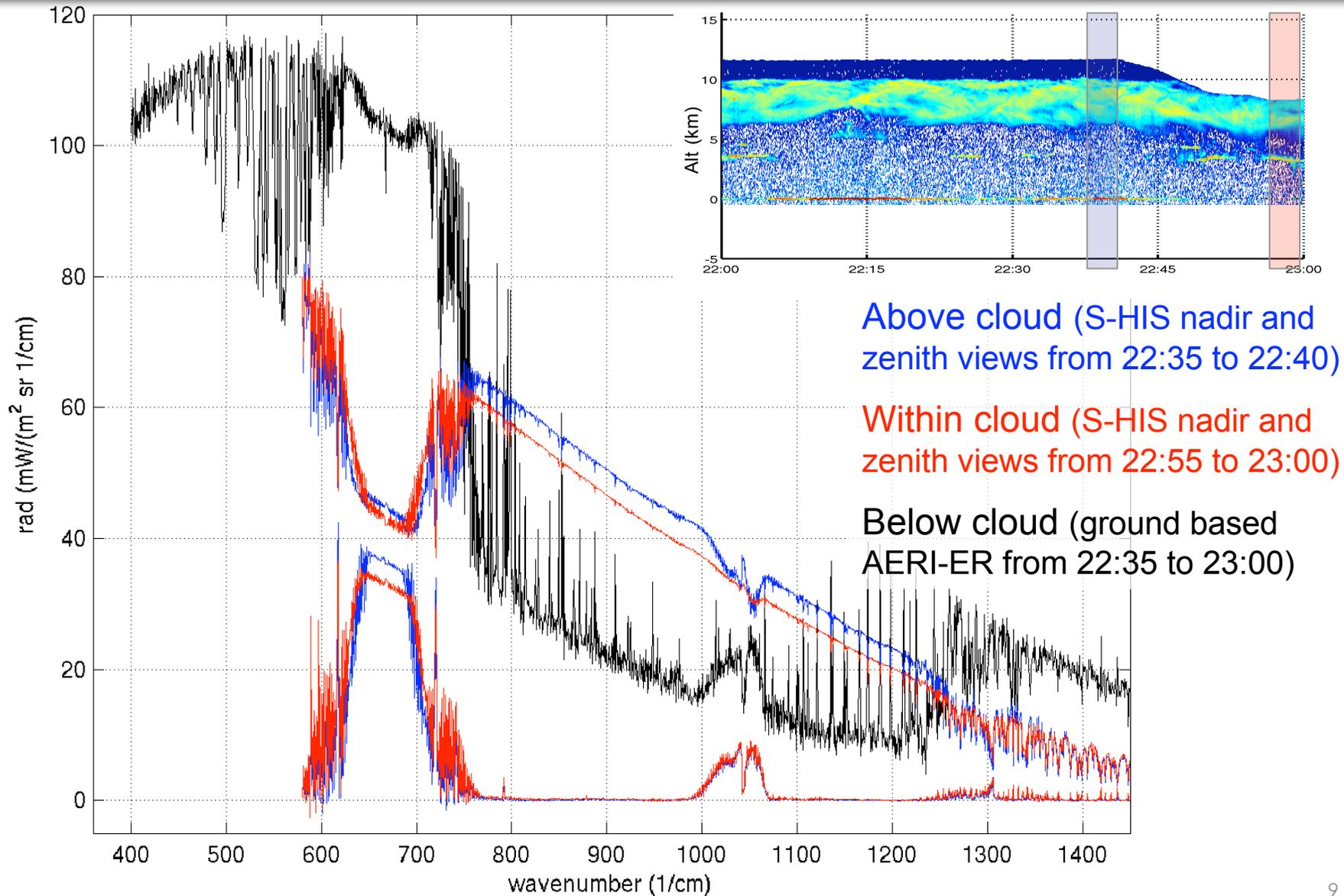
SHIS for TES Validation (Bands 2B1, 1B2, 2A1, 1A1), 31 Oct. 2004, 19.273 to 19.298 UTC



# Cross-track Mapping Capability: Okavanga Delta Surface Emissivity ( 27 August 2000)

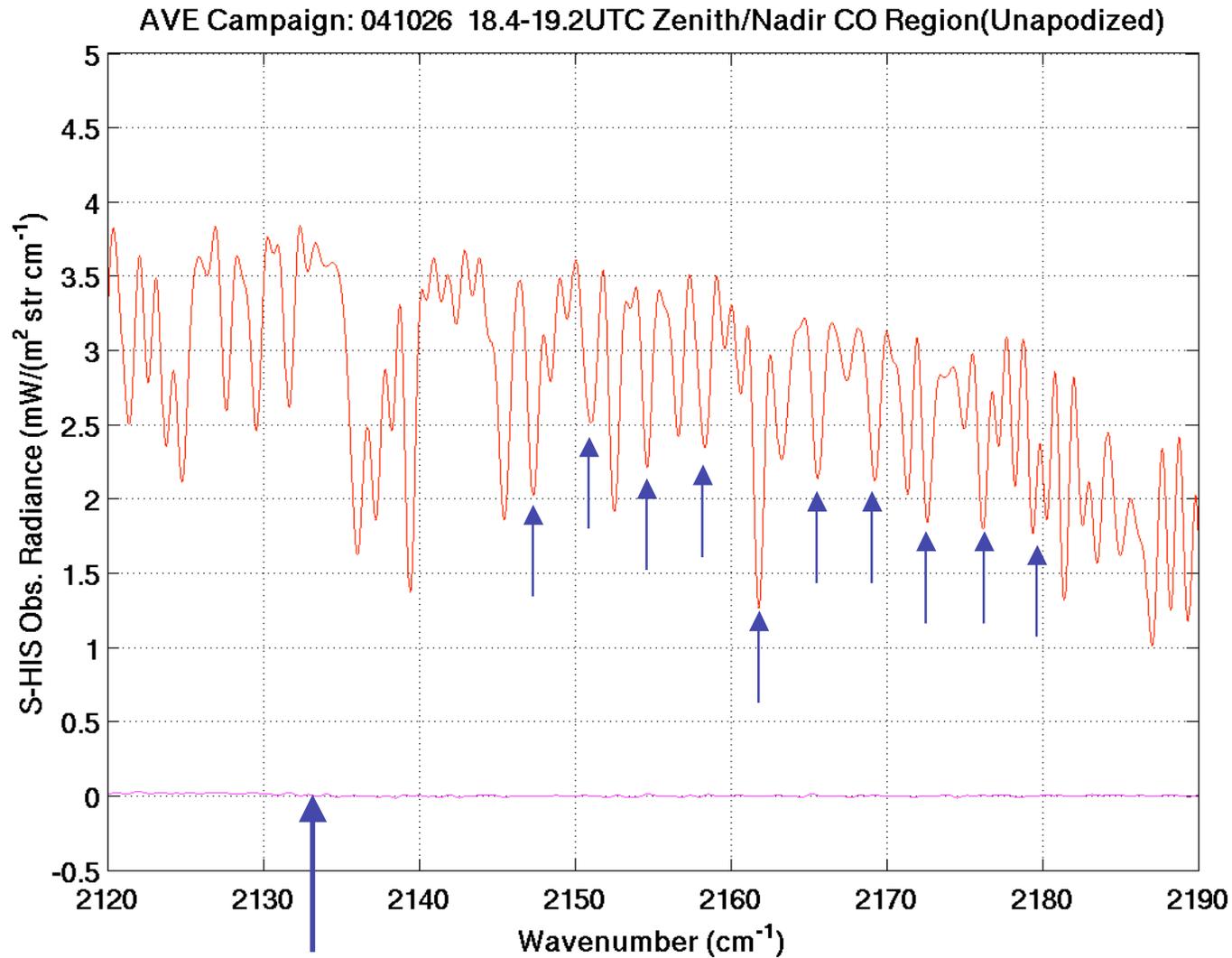


# Uplooking: MPACE Example 10/17/04 with SHIS & AERI-ER



# S-HIS Spectra, 4.67 $\mu\text{m}$ CO

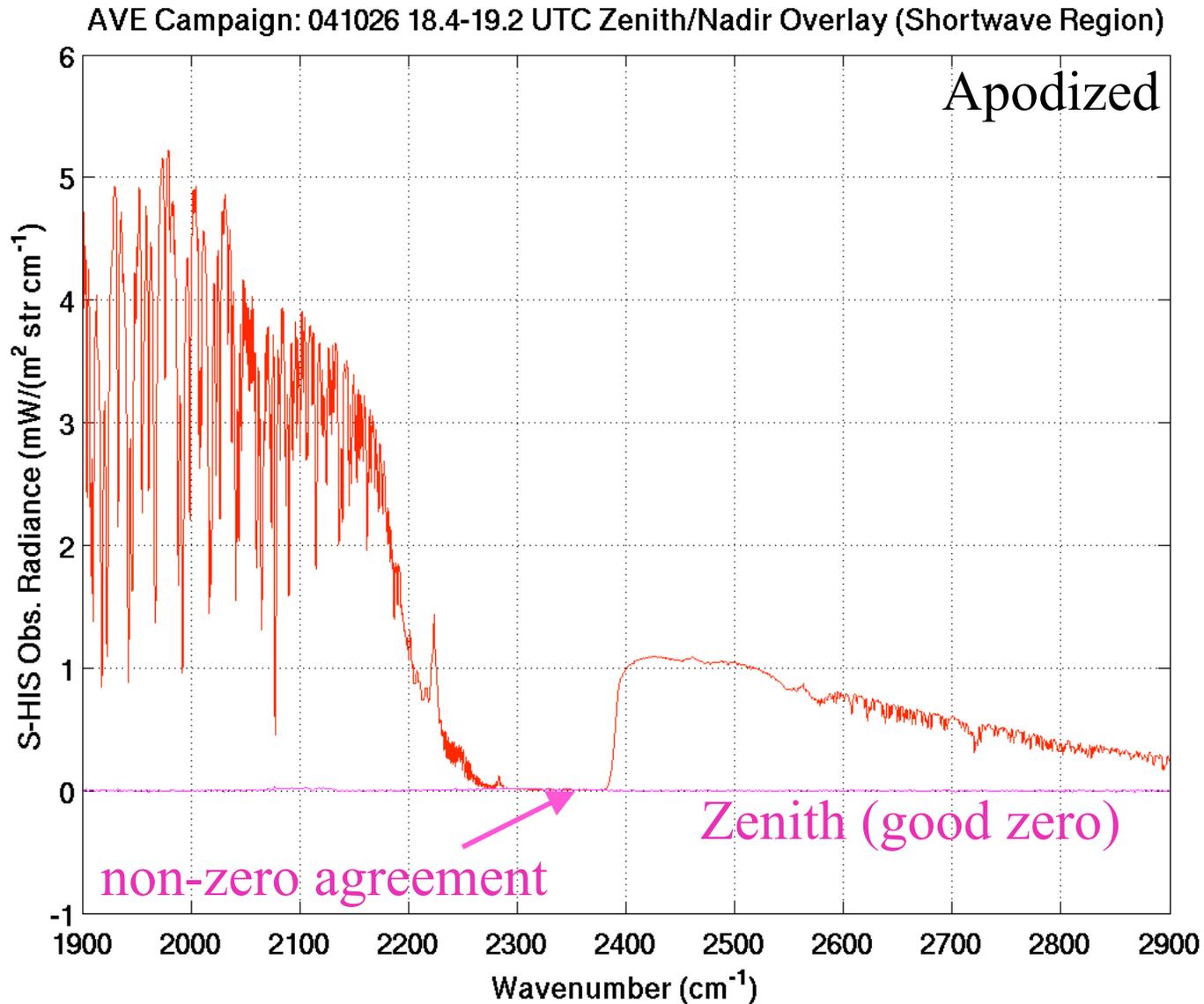
AVE, 26 October 2004



Note good uplooking zero

# S-HIS Spectra, SW/4.3 $\mu\text{m}$ $\text{CO}_2$

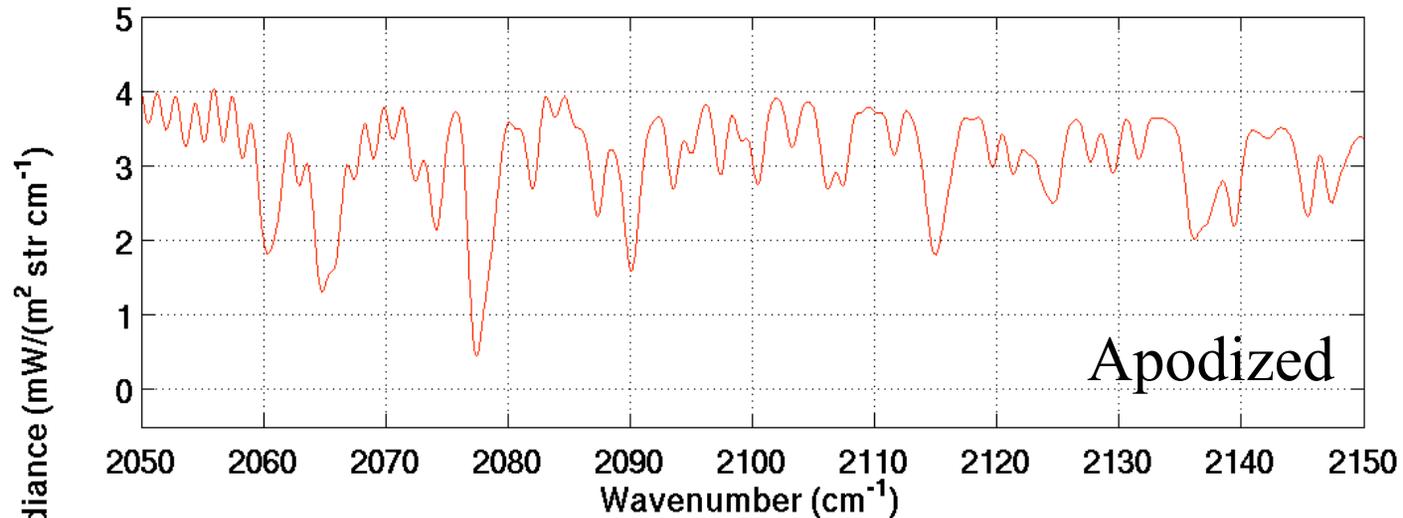
AVE, 26 October 2004



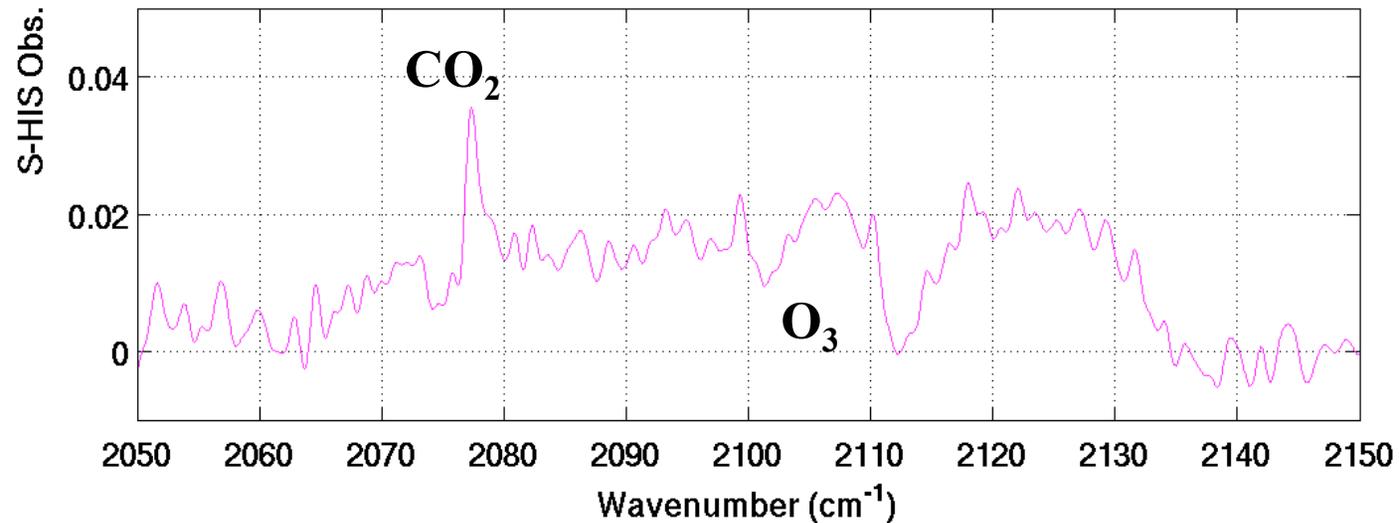
# S-HIS Spectra, 4.73 $\mu\text{m}$ $\text{O}_3$

AVE, 26 October 2004

AVE Campaign: 041026 18.4-19.2 UTC, Nadir View (SW O3 Region)



AVE Campaign: 041026 18.4-19.2 UTC, Zenith View (SW O3 Region)





## 2. Radiometric Calibration



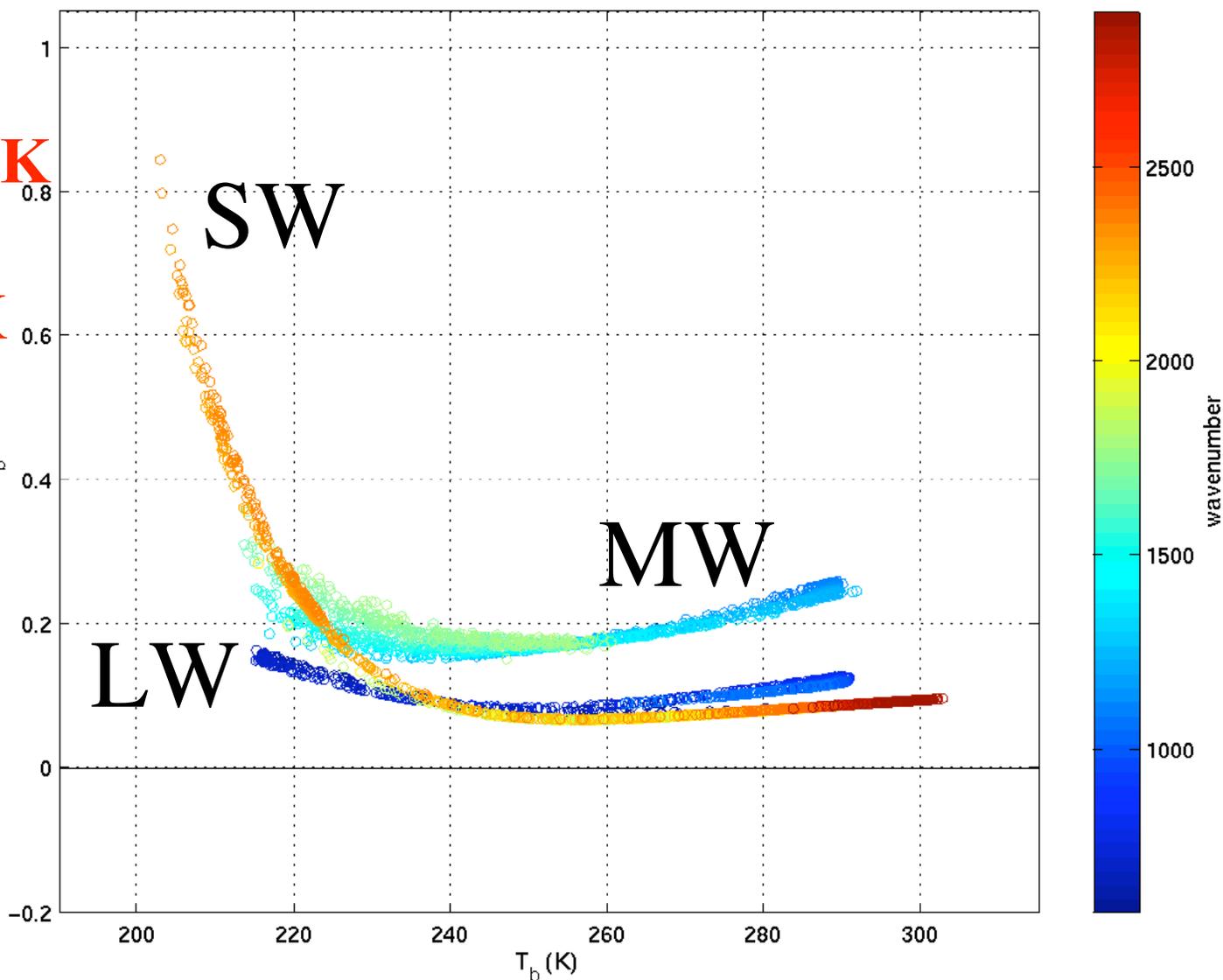
# Scanning-HIS Radiometric Calibration Budget

TABB= 227, THBB=310, 11/16/02 Proteus

Similar to AERI description in Best, et al., CALCON 2003

3-sigma  
T<sub>b</sub> error  
mostly < 0.2 K  
for  
T<sub>b</sub> > 220 K

RSS of  
Errors in  
T<sub>HBB</sub>, T<sub>ABB</sub>  
T<sub>Rfl</sub>  
ε<sub>HBB</sub>, ε<sub>ABB</sub>  
+ 10% of  
non-linearity  
correction



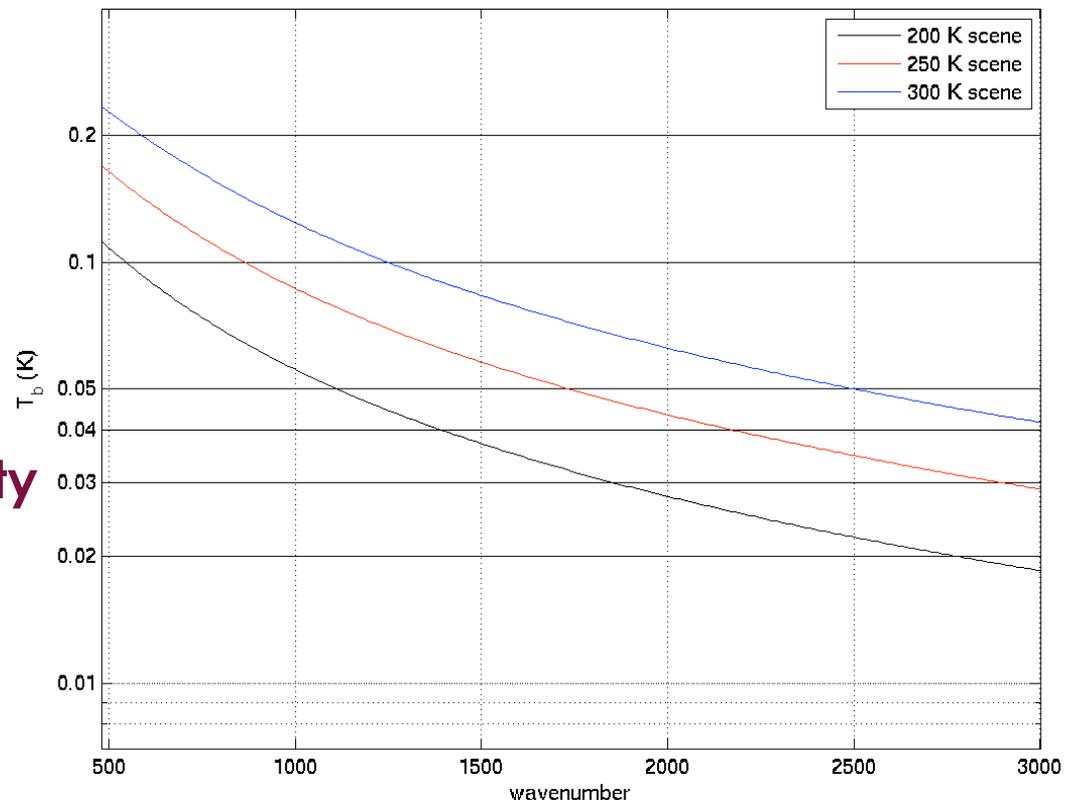
# AIRS Radiometric Calibration: A better error estimate is needed

The statement of an AIRS Radiometric Calibration of <0.2% absolute error in the AIRS Technical Fact Sheet\* is indicative of the problem

The difference between absolute error (3-sigma or at least 2-sigma) and reproducibility or repeatability needs to be clarified

\*[http://www-air.jpl.nasa.gov/press/AIRS\\_tech\\_factsheet.pdf](http://www-air.jpl.nasa.gov/press/AIRS_tech_factsheet.pdf)

Brightness temperature errors for 0.2% radiance errors are unrealistic in the SW band; 0.2 K is entirely different



# The NIST Connection

- Comparisons with NIST maintained blackbodies conducted with ground-based AERI. S-HIS employs the same calibration approaches



Max Difference  
< 0.055°C Longwave  
< 0.035°C Shortwave  
between 293 & 333 K

- Direct test of S-HIS planned for 2006 using NIST Transfer Radiometer (TXR) at aircraft flight temperatures



### **3. AIRS Radiance Validation** **with S-HIS**

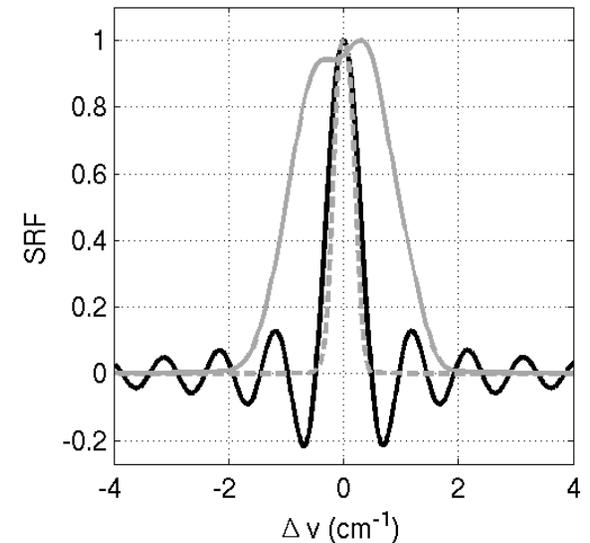
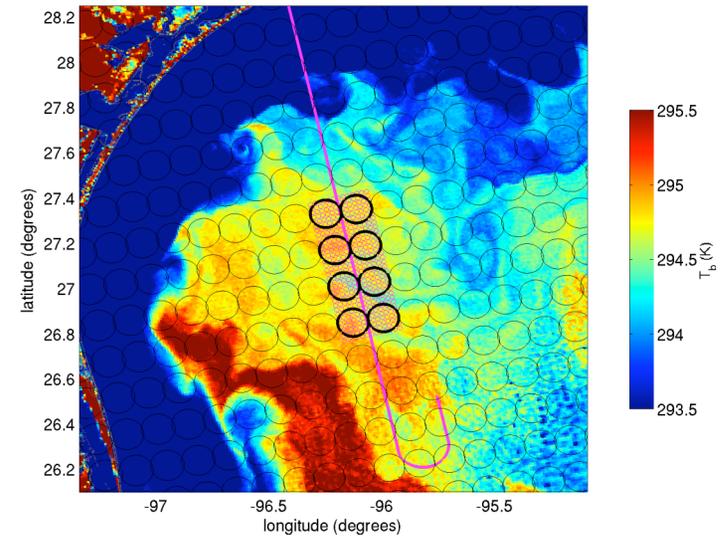
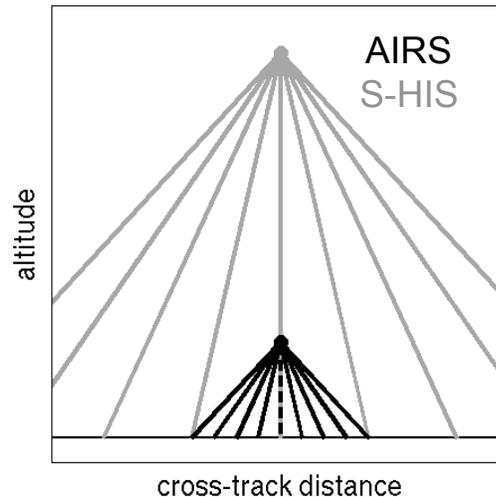
# AIRS / S-HIS Comparison Methodology

$$\frac{(\text{Obs}_{\text{AIRS}} - \text{Calc}_{\text{AIRS}}) \otimes \text{SRF}_{\text{SHIS}}}{(\text{Obs}_{\text{SHIS}} - \text{Calc}_{\text{SHIS}}) \otimes \text{SRF}_{\text{AIRS}}}$$

Spatial collocation is achieved by selecting scenes with low variability and covering the full AIRS FOVs with SHIS observations

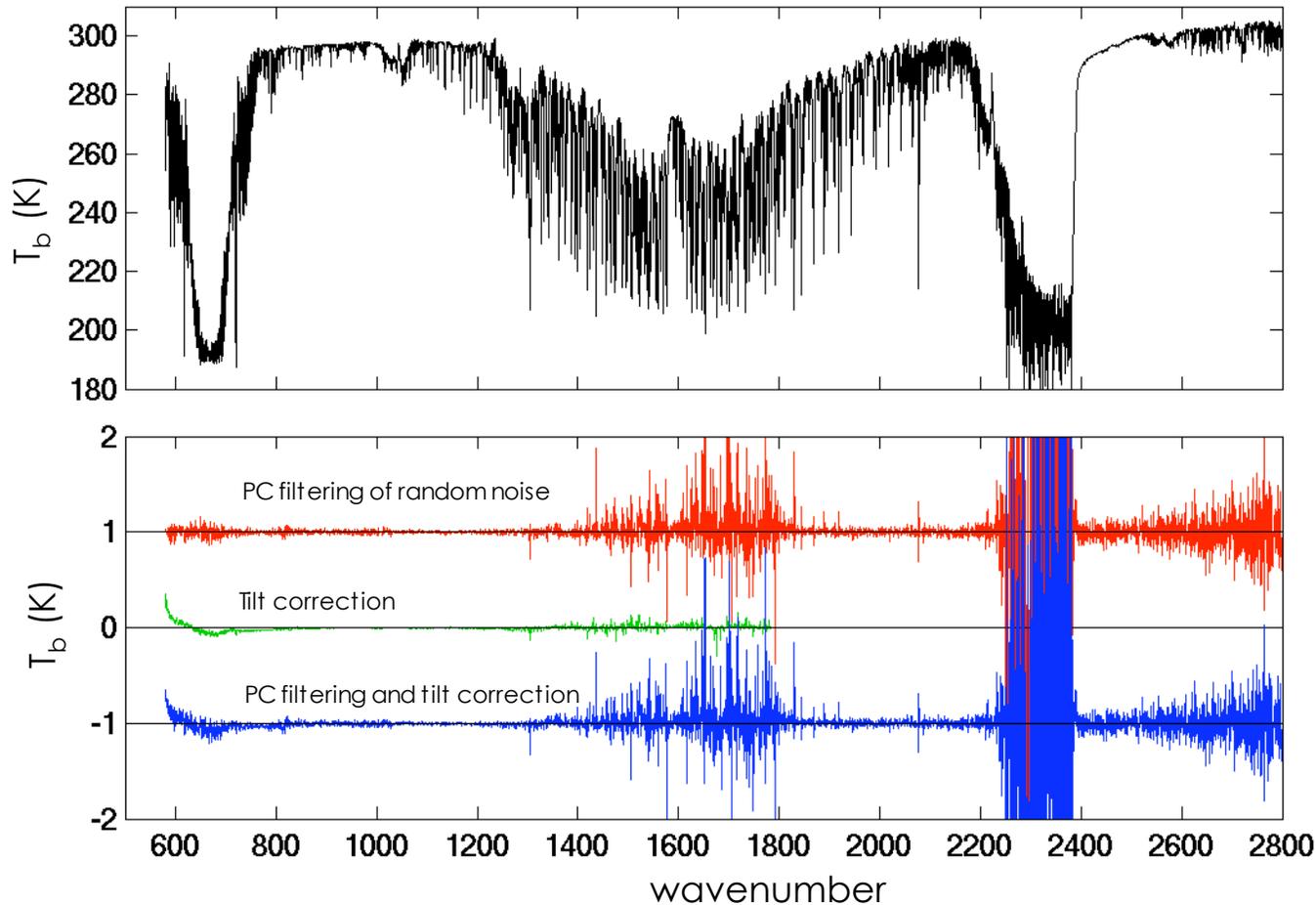
The double obs-calc method accounts for altitude and view angle differences and differences in instrument lineshapes

Channels with high sensitivity above the aircraft altitude are excluded from the final comparisons



# Impact of S-HIS PC Filtering and Tilt Correction

Impact of PC filtering and Tilt correction on SHIS  
mean spectrum for 060117 CRAVE case (351 FOVs)

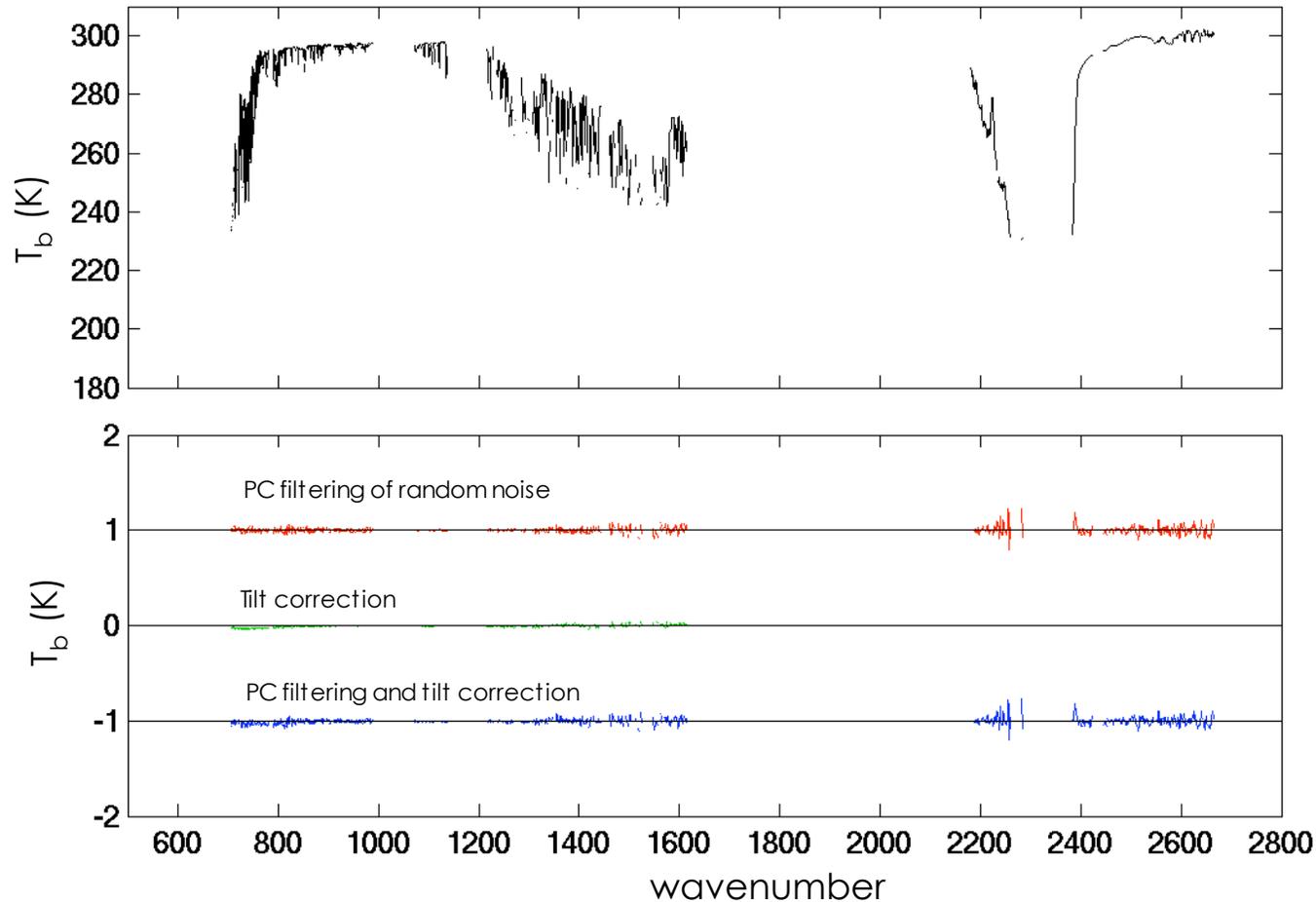


Full S-HIS  
spectral  
coverage  
& resolution:

**Mainly noise  
reduction  
(bias for only  
 $LW < 650 \text{ cm}^{-1}$   
tilt correction)**

# Impact of S-HIS PC Filtering and Tilt Correction

Impact of PC filtering and Tilt correction on SHIS  
mean spectrum for 060117 CRAVE case (351 FOVs)  
After reducing to AIRS resolution and excluding high altitude channels

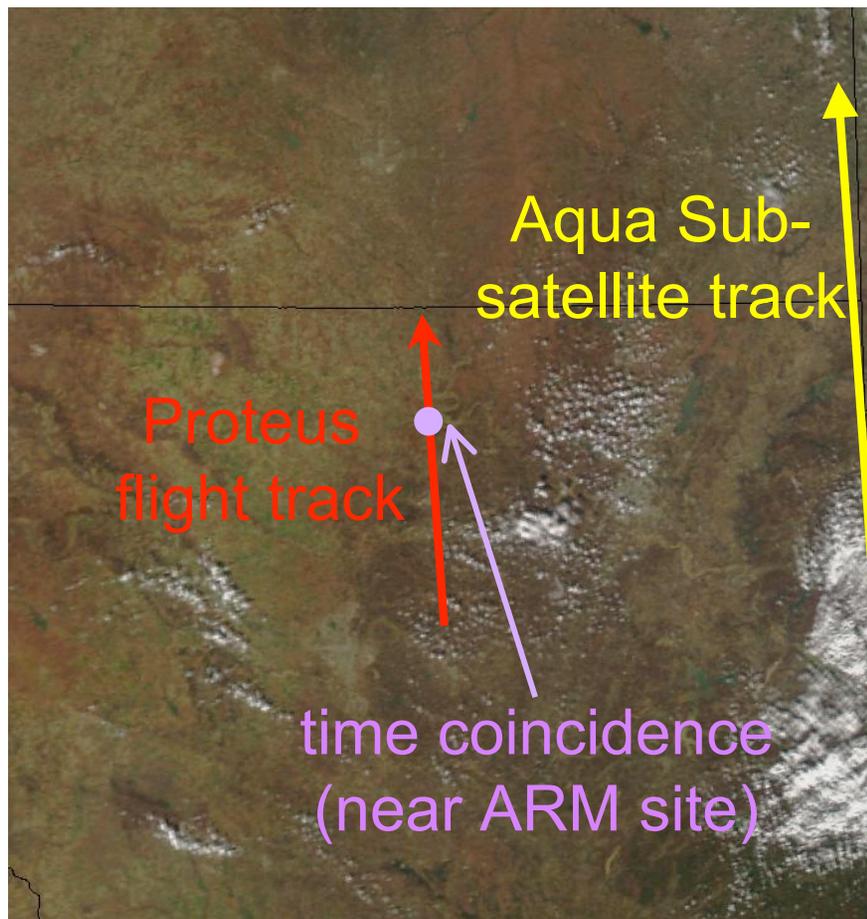


For final  
comparison  
conditions:

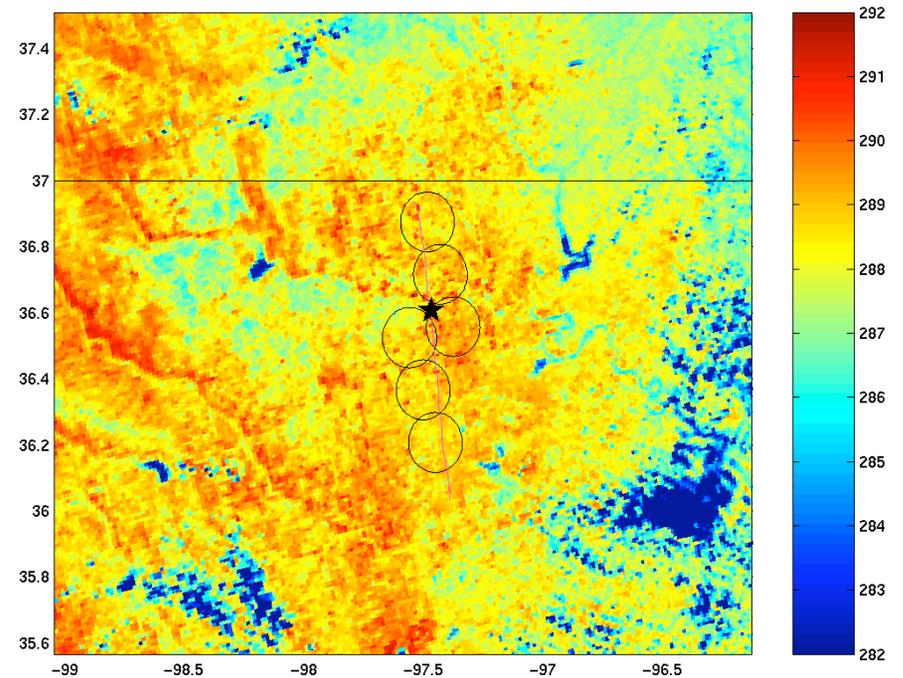
**No biases,  
just noise  
reduction**

# ARM-SGP Validation case: 2002.11.16

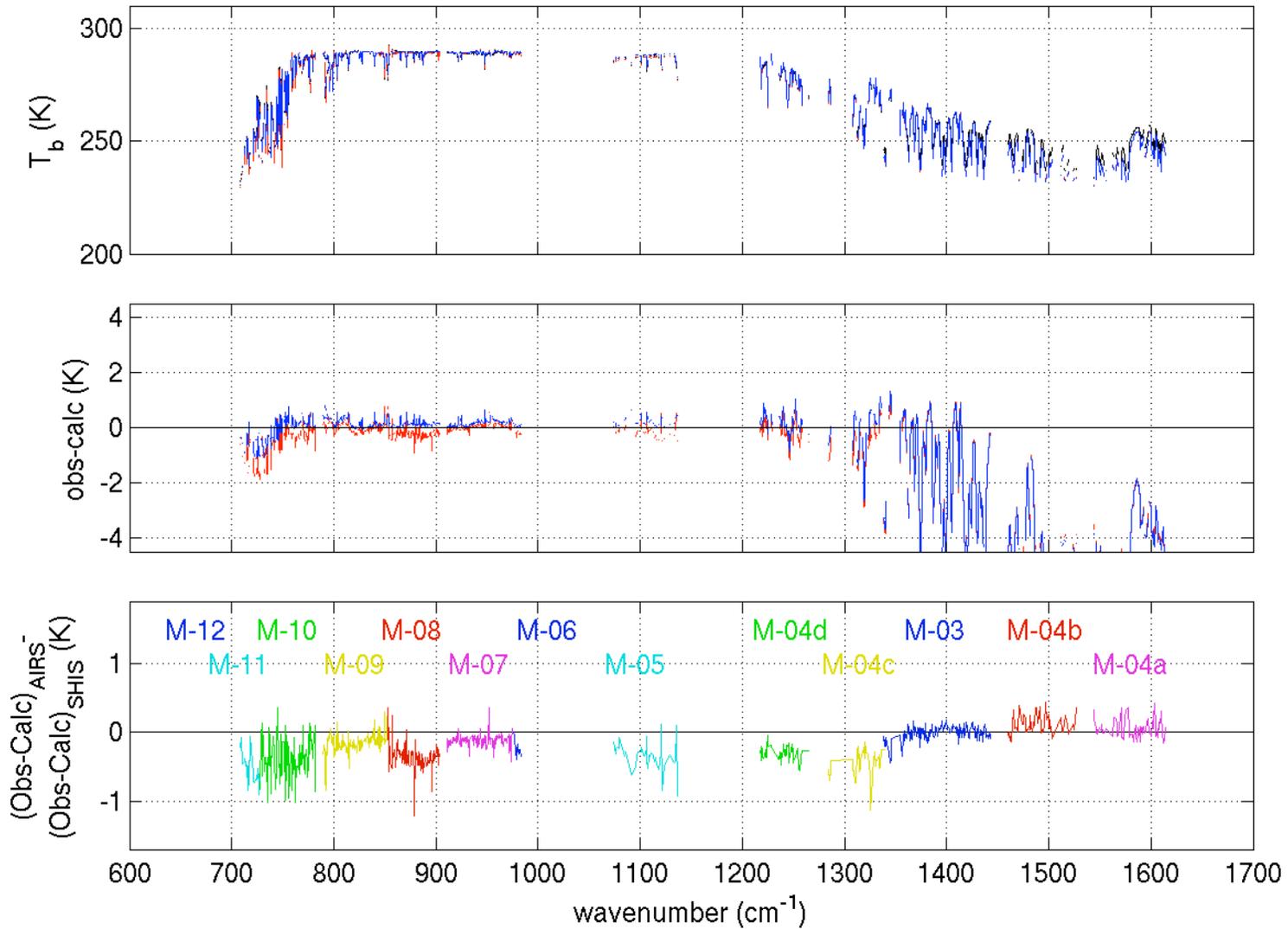
ARM UAV Campaign, S-HIS on Proteus  
@ ~14km near ARM SGP CF, 19:24 UTC



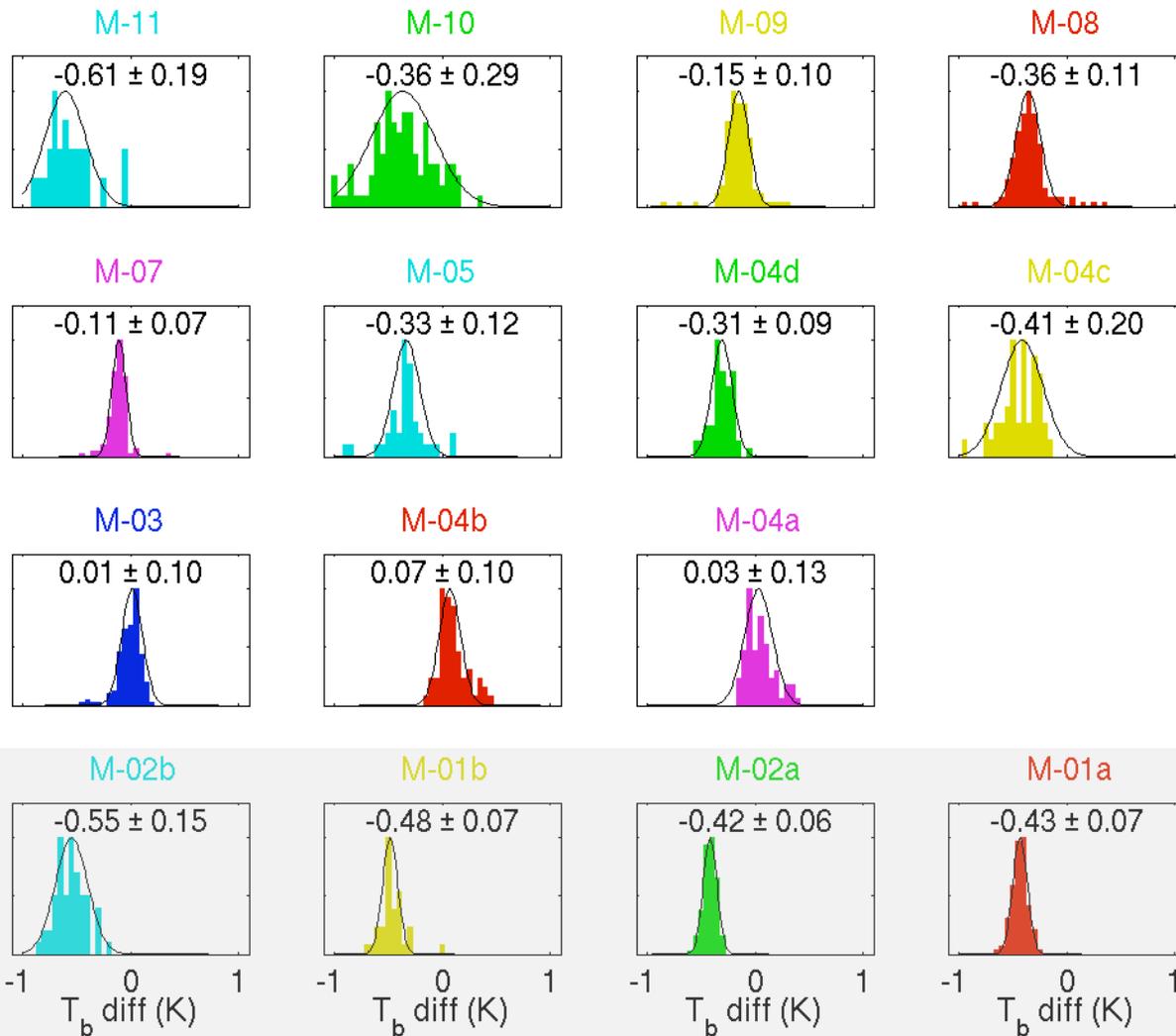
MODIS 12  $\mu\text{m}$  brightness temperatures  
and AIRS FOV locations:



# ARM-SGP Validation case: 2002.11.16



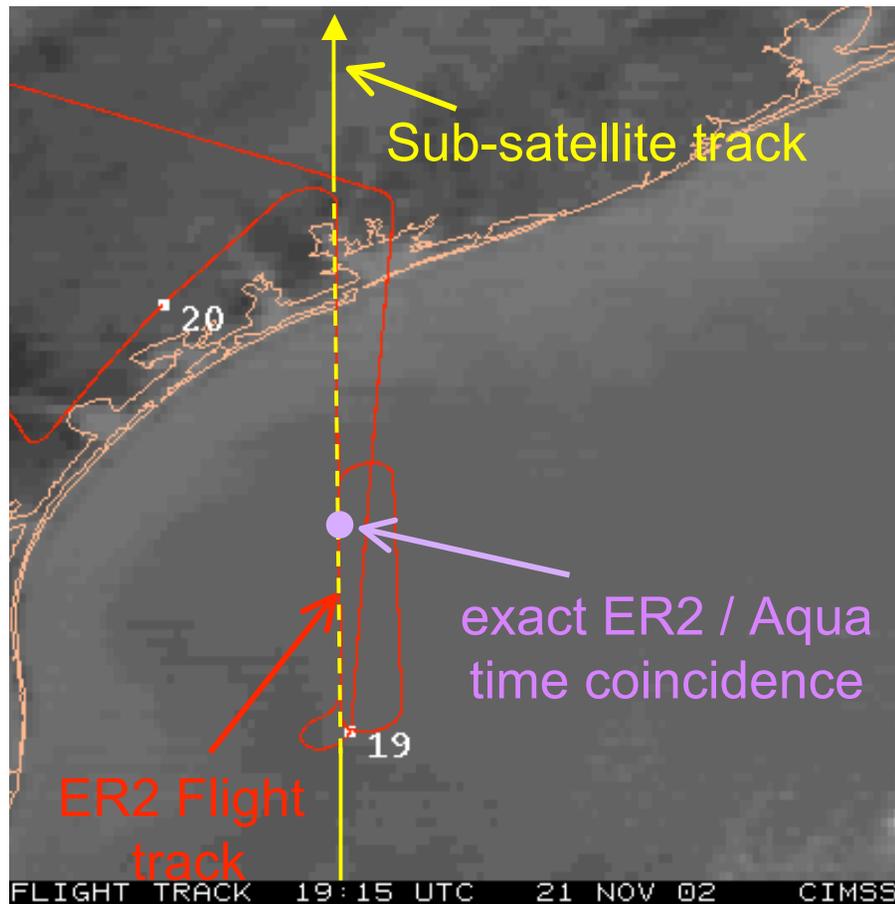
# ARM-SGP Validation case: 2002.11.16



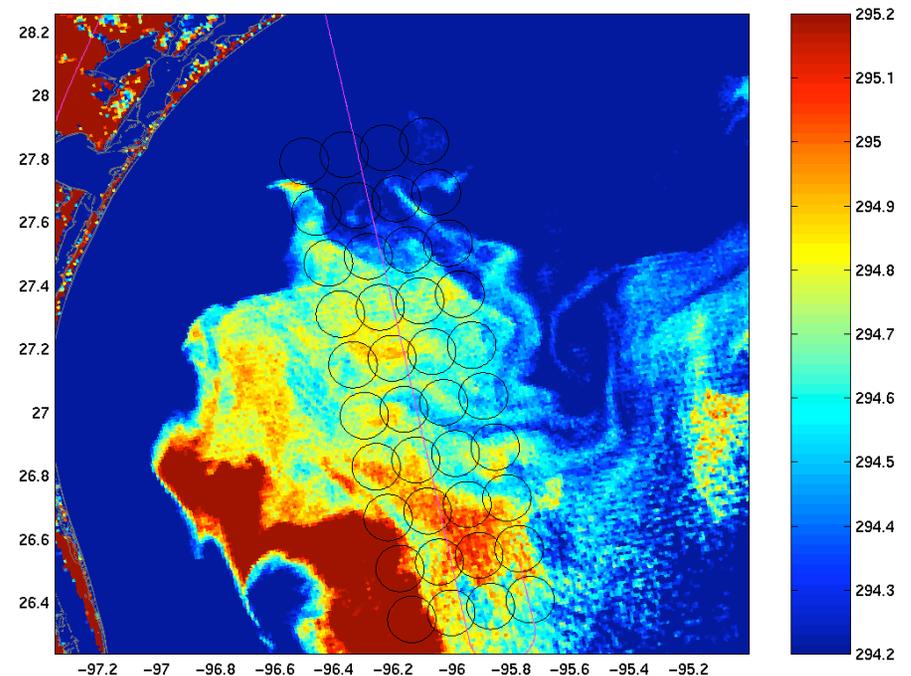
**SW  
Modules**

# Gulf of Mexico Validation case: 2002.11.21

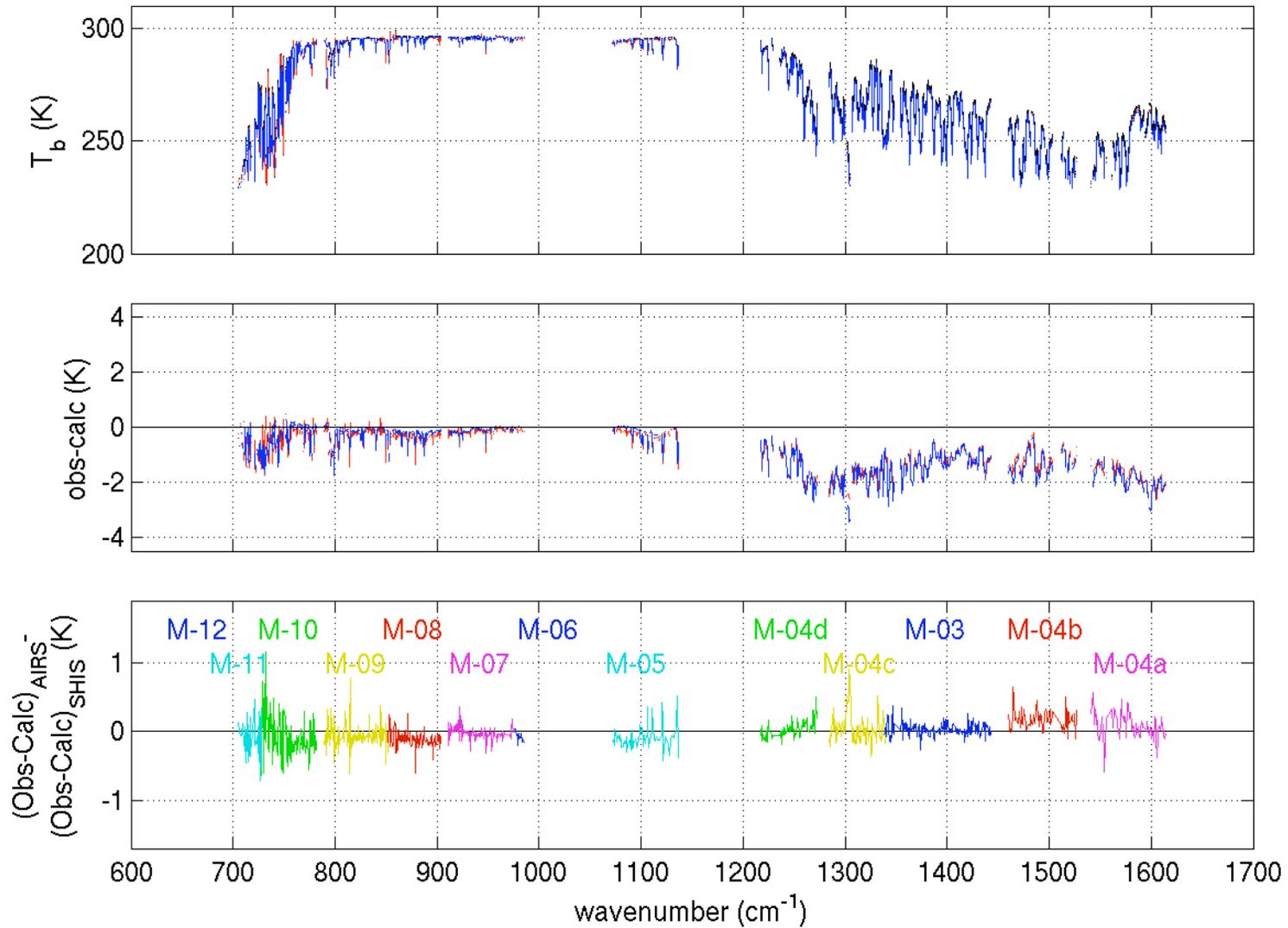
Texas 2002 Aqua Validation Campaign  
S-HIS on ER-2 @ ~20km over Gulf of Mexico  
at 19:40 UTC



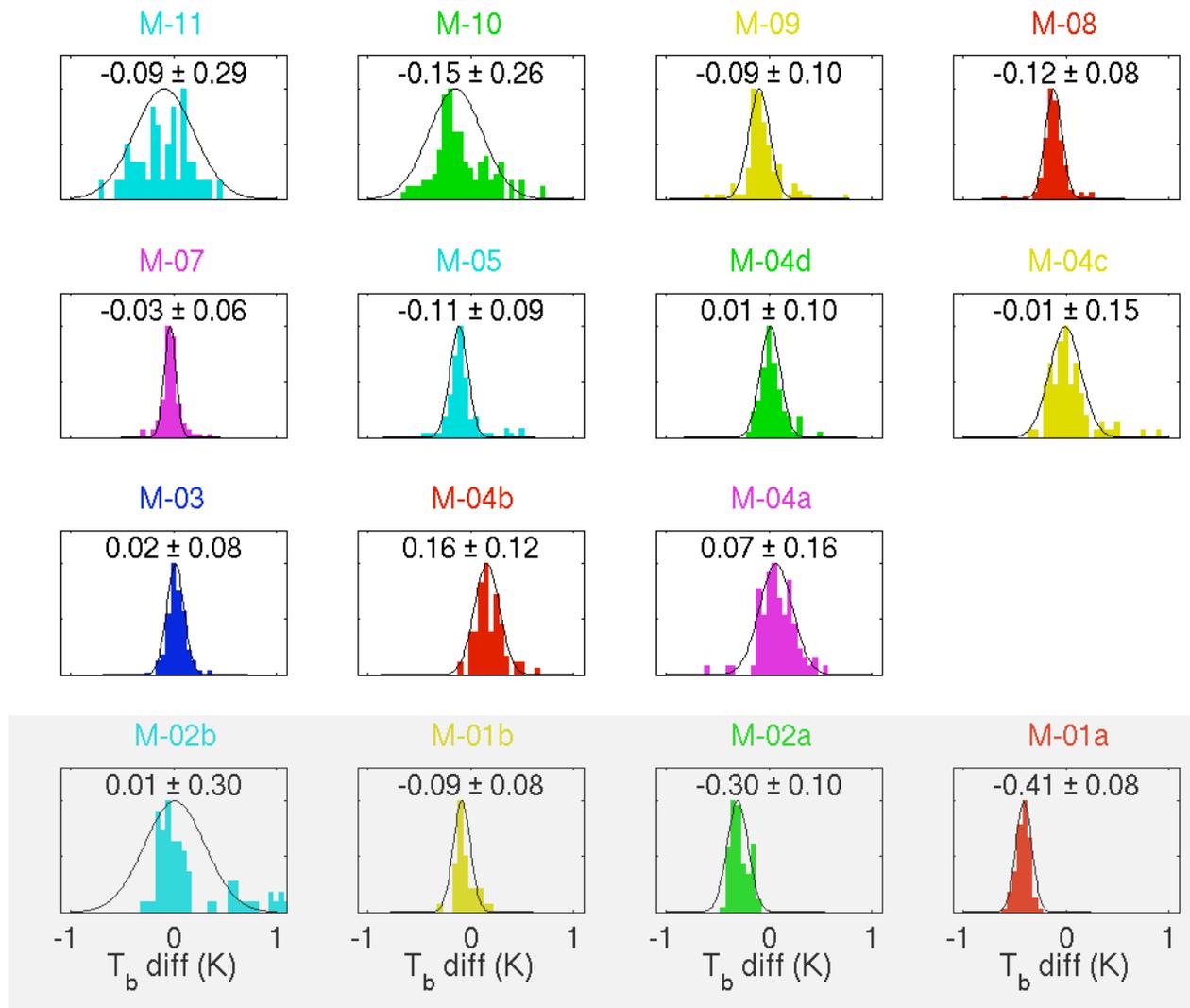
MODIS 12  $\mu\text{m}$  brightness  
temperatures and AIRS FOV locations:



# Gulf of Mexico Validation case: 2002.11.21



# Gulf of Mexico Validation case: 2002.11.21

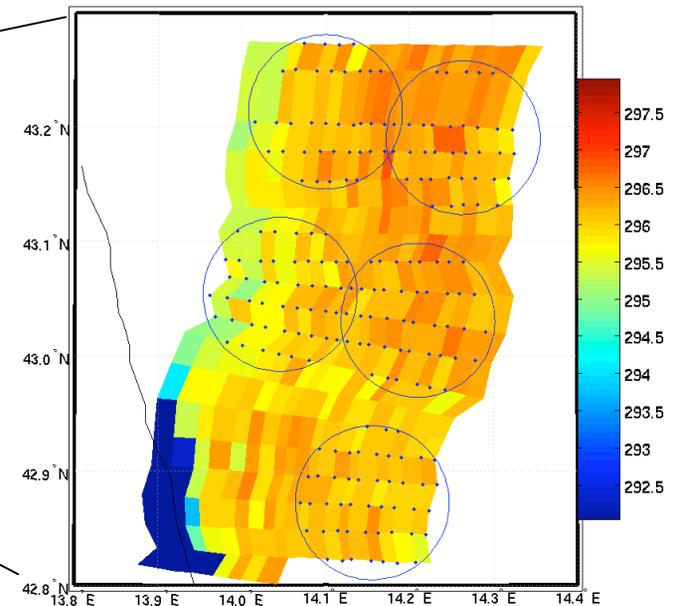
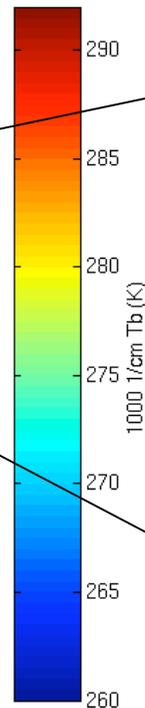
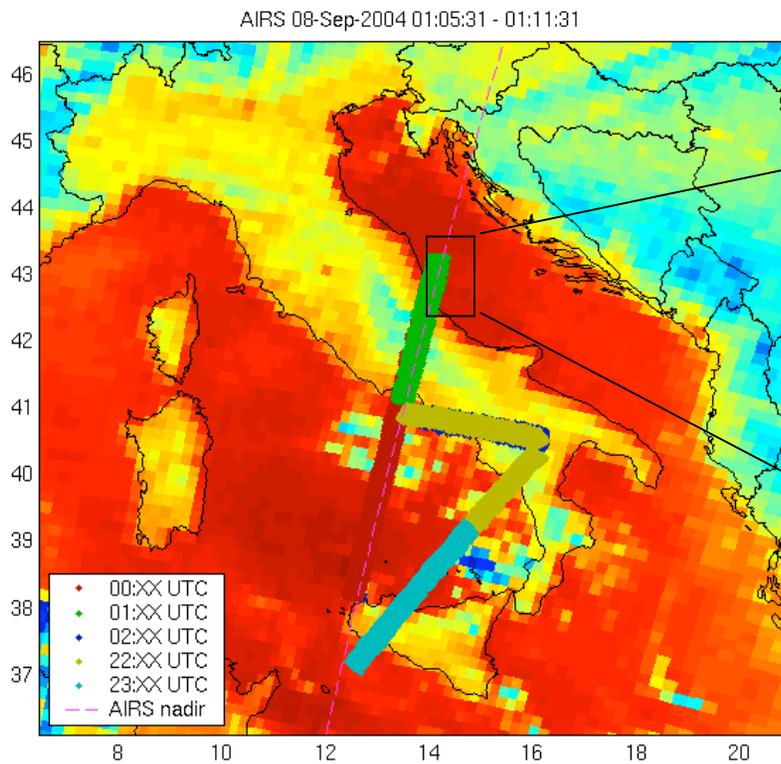


**SW  
Modules**

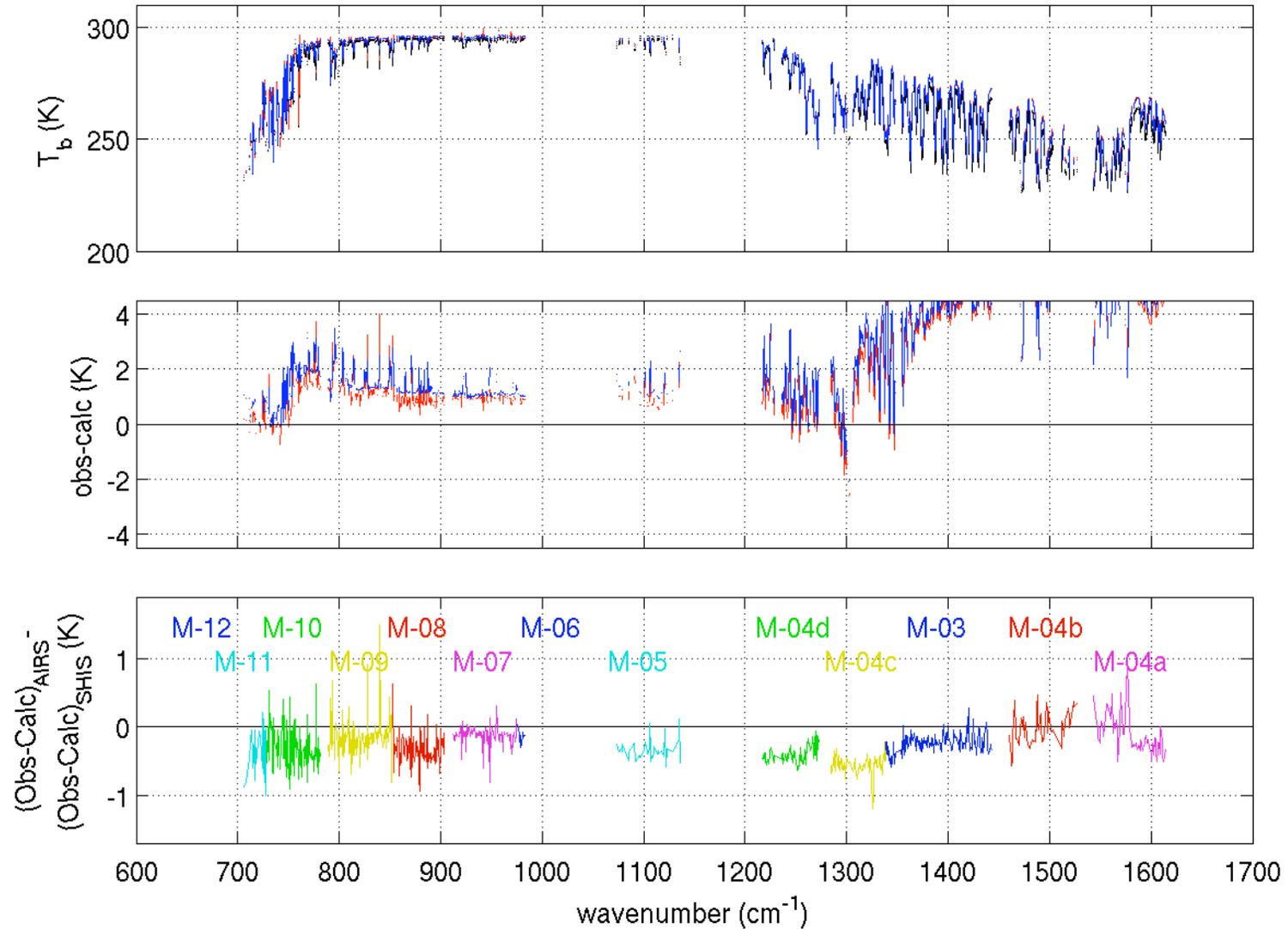
# Italy Validation case: 2004.09.07

ADRIEX (EAQUATE) Campaign  
S-HIS on Proteus @ ~16km over Adriatic Sea  
2004.09.08, 01:10 UTC (Nighttime)

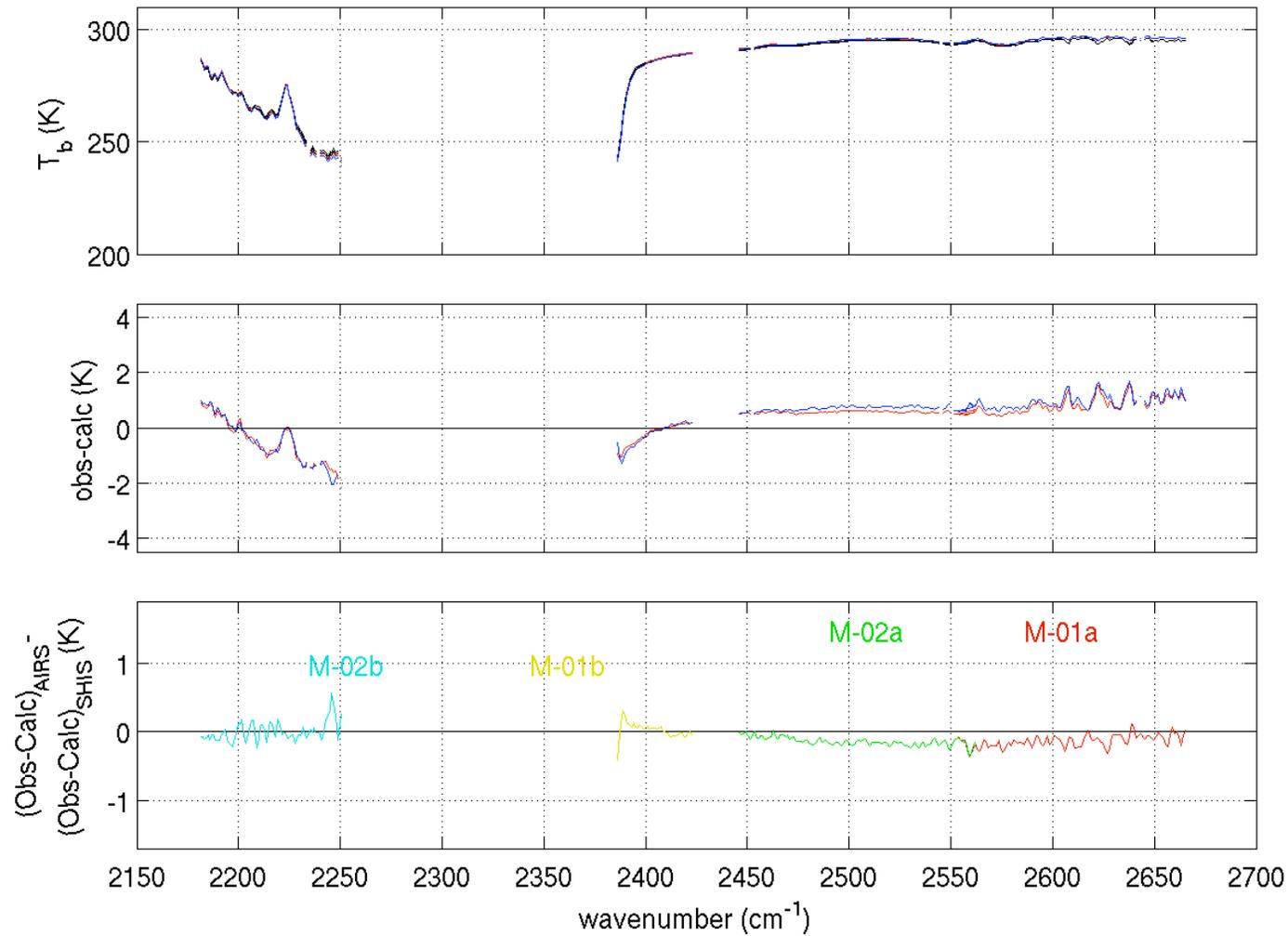
S-HIS 12  $\mu\text{m}$  brightness temperatures  
and AIRS FOV locations:



# Italy Validation case: 2004.09.07

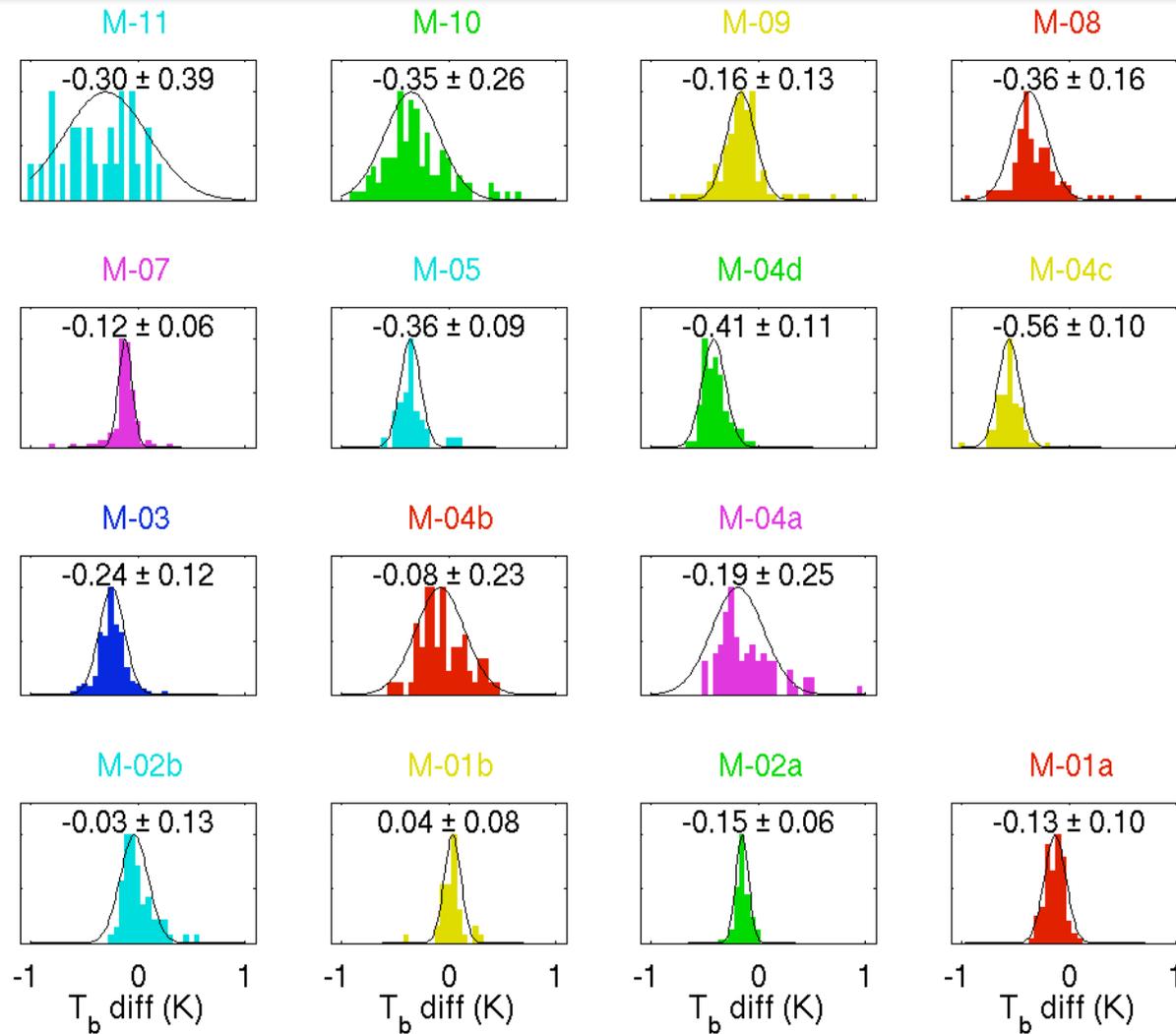


# Italy Validation case: 2004.09.07



**Night Flight Shortwave validation is Excellent**

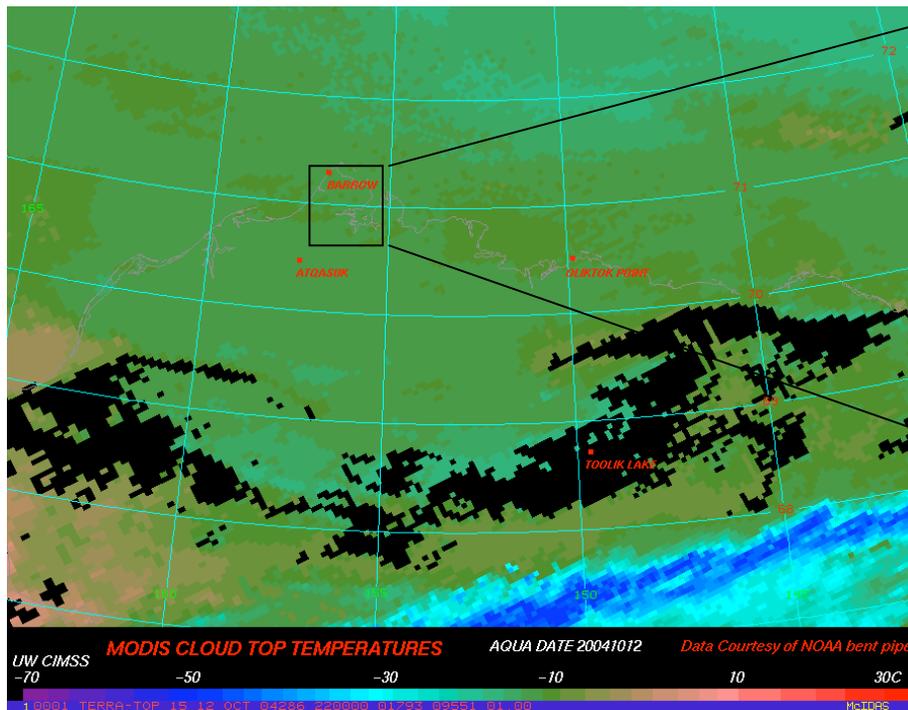
# Italy Validation case: 2004.09.07



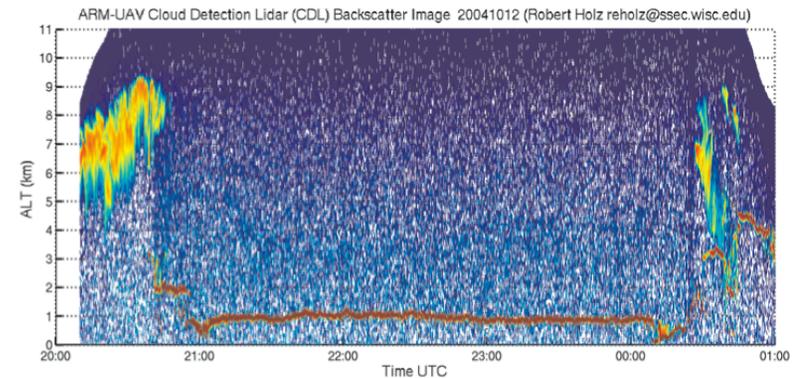
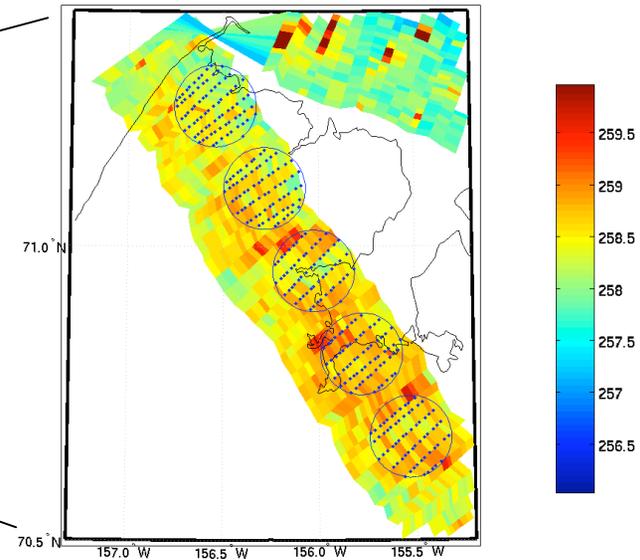
**SW  
Modules**

# Arctic Validation case: 2004.10.21

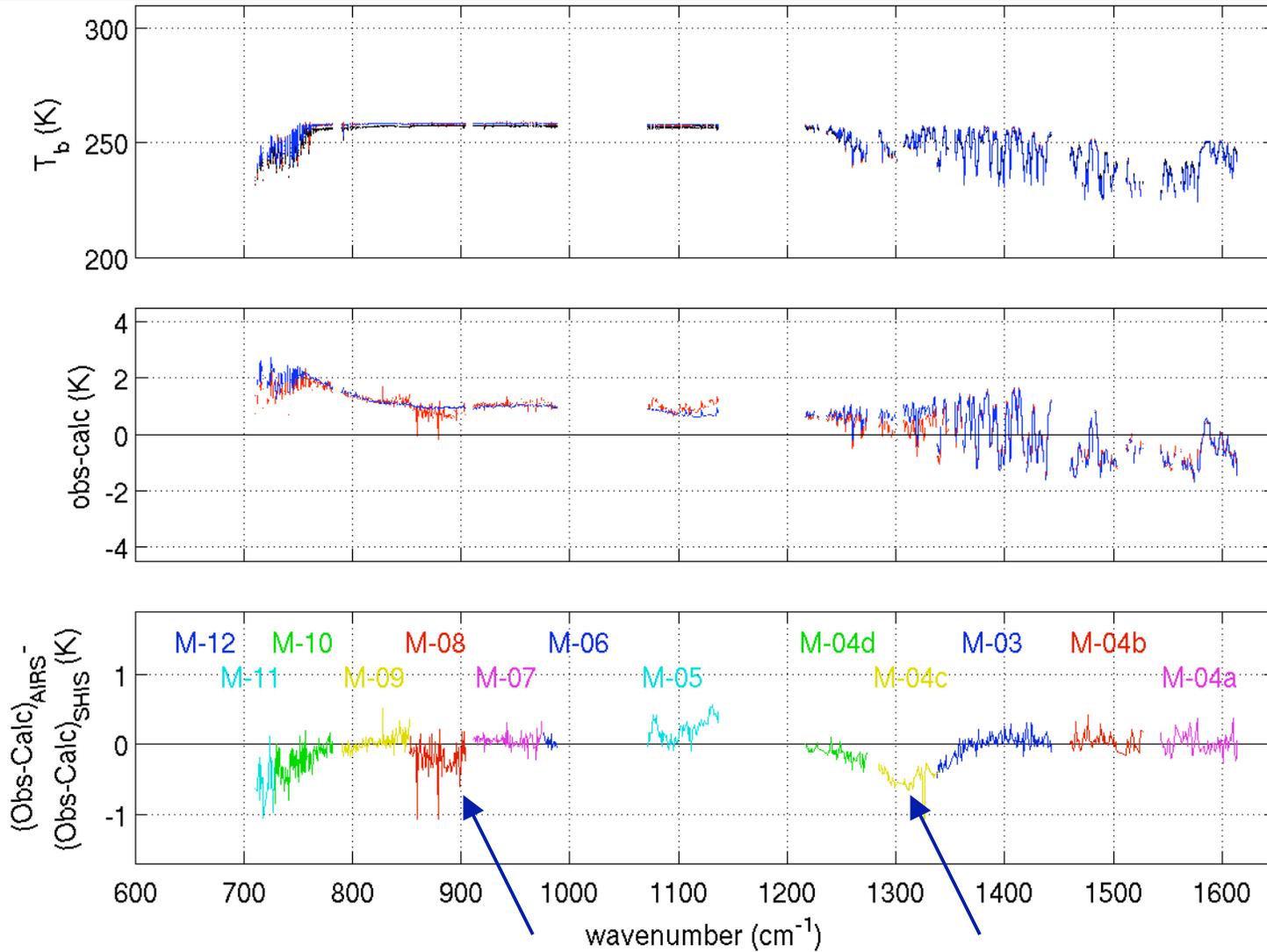
MPACE Campaign  
S-HIS on Proteus @ ~16km over low stratus  
clouds near Barrow, AK at 22:00 UTC



S-HIS 12  $\mu\text{m}$  brightness temperatures  
and AIRS FOV locations:



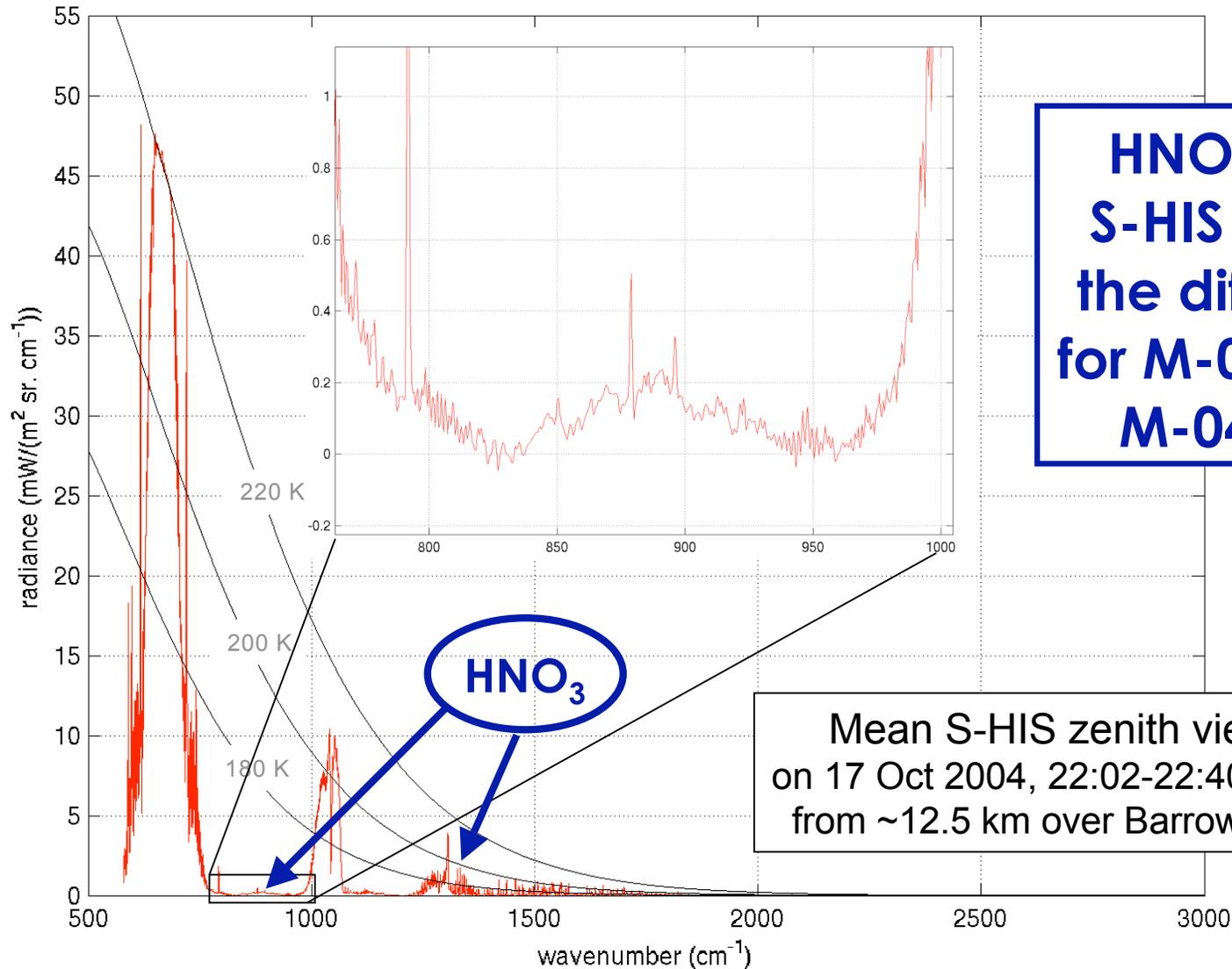
# Arctic Validation case: 2004.10.21



**How do we explain these differences?**

airs\_stm\_mar2006\_revercomb.ppt

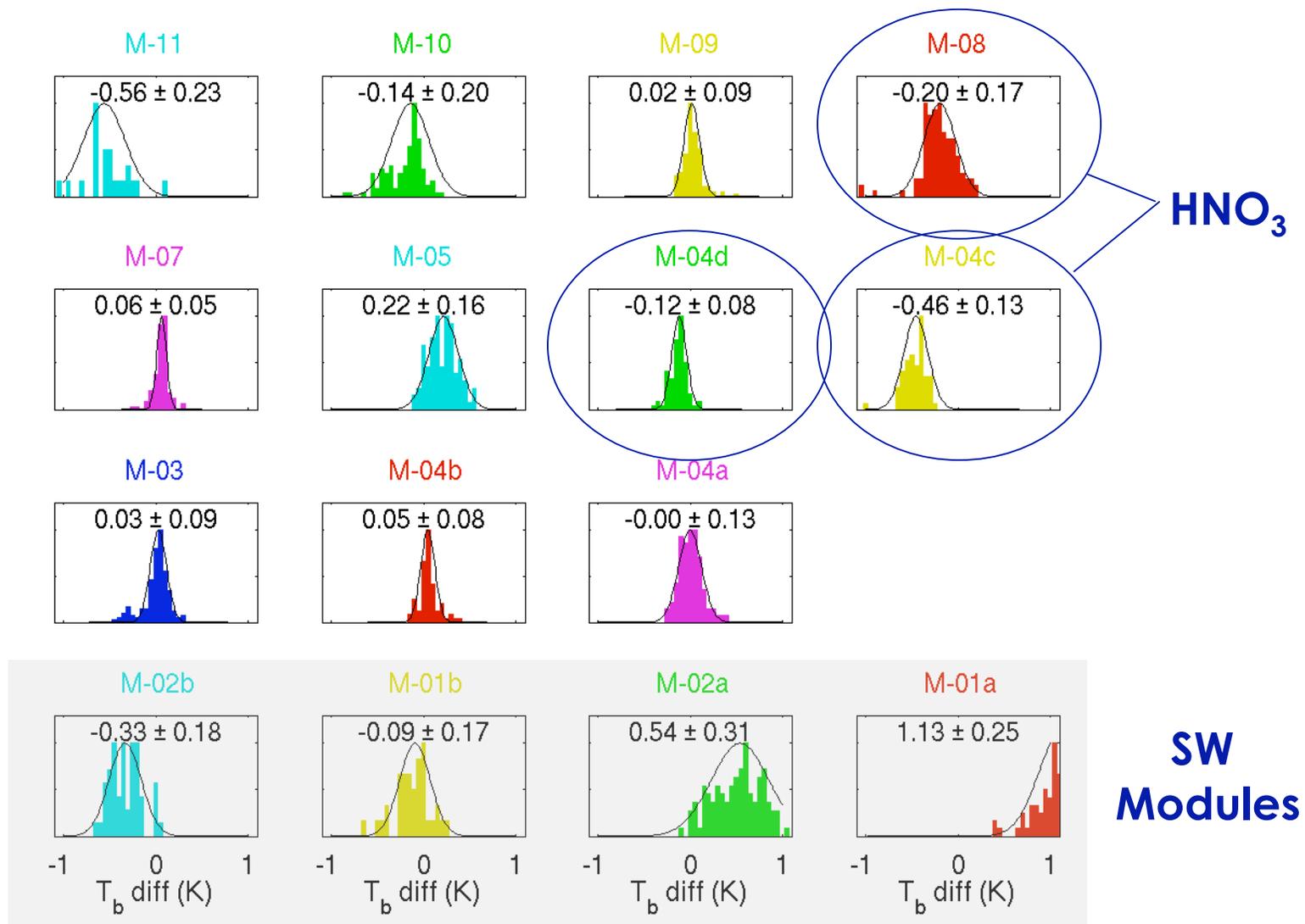
# HNO<sub>3</sub> in S-HIS zenith views



**HNO<sub>3</sub> above  
S-HIS explains  
the differences  
for M-08, M-04c,  
M-04d, M03**

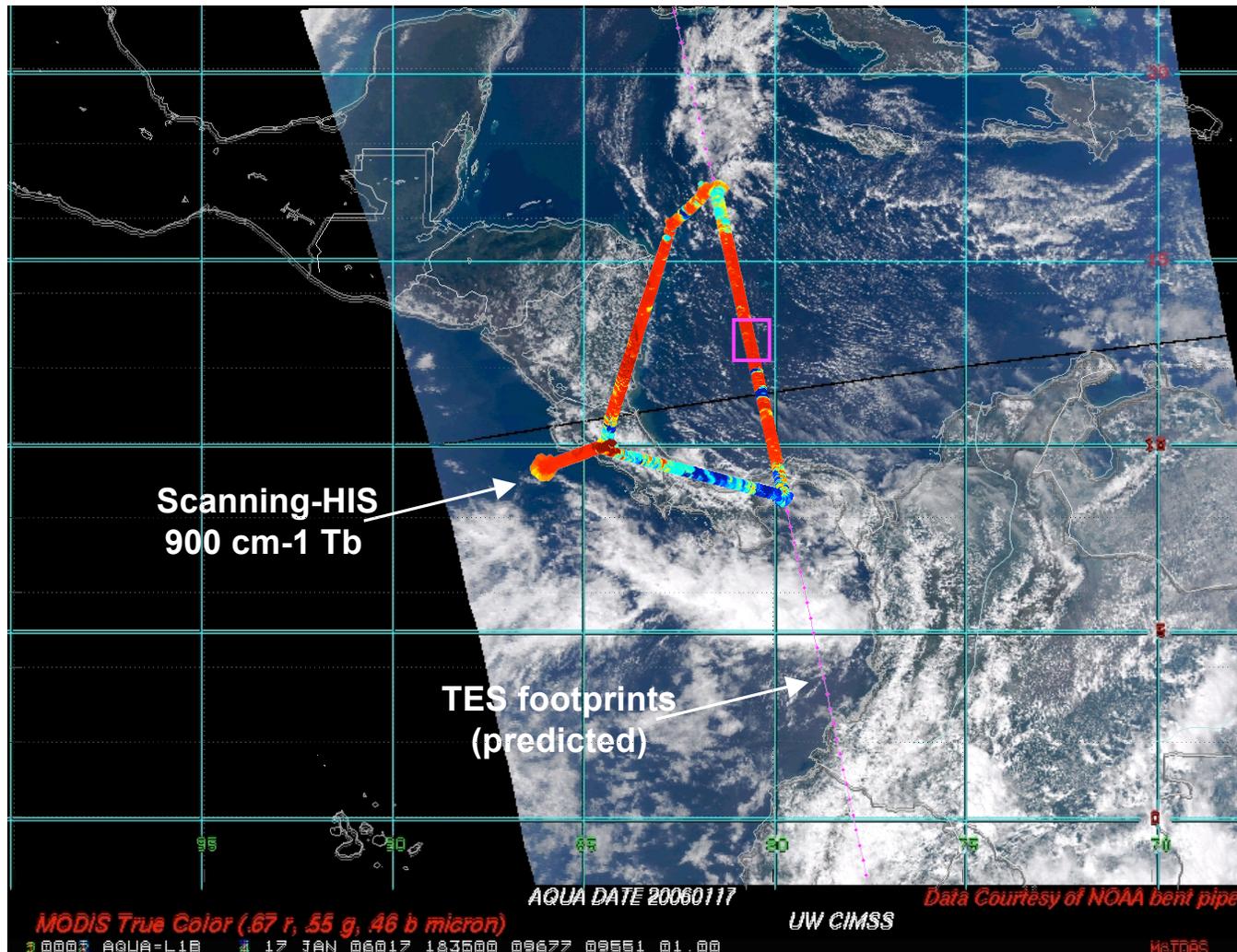
Mean S-HIS zenith view  
on 17 Oct 2004, 22:02-22:40 UTC  
from ~12.5 km over Barrow, AK

# Arctic Validation case: 2004.10.21

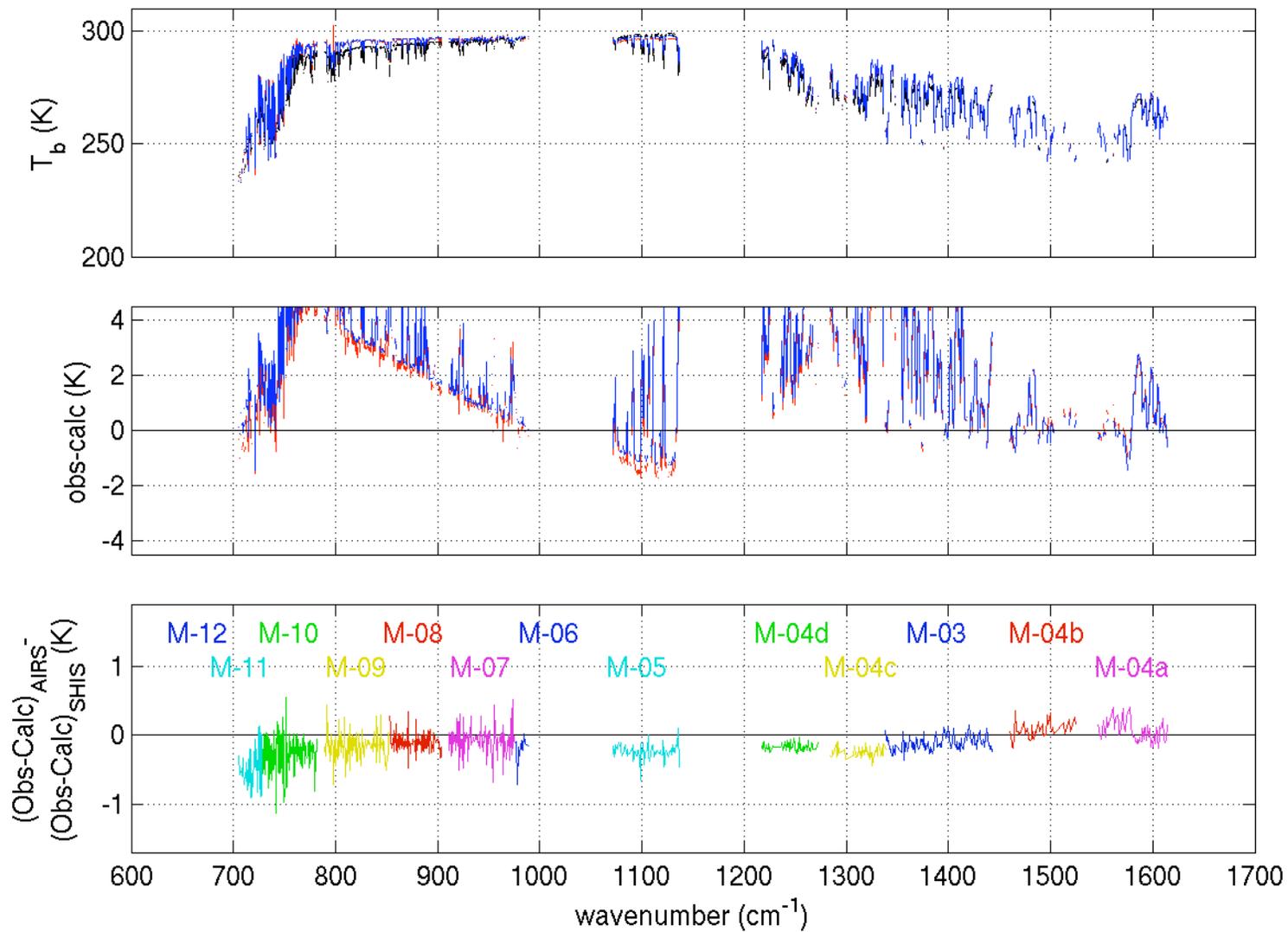


# Tropical Validation case: 2006.01.17

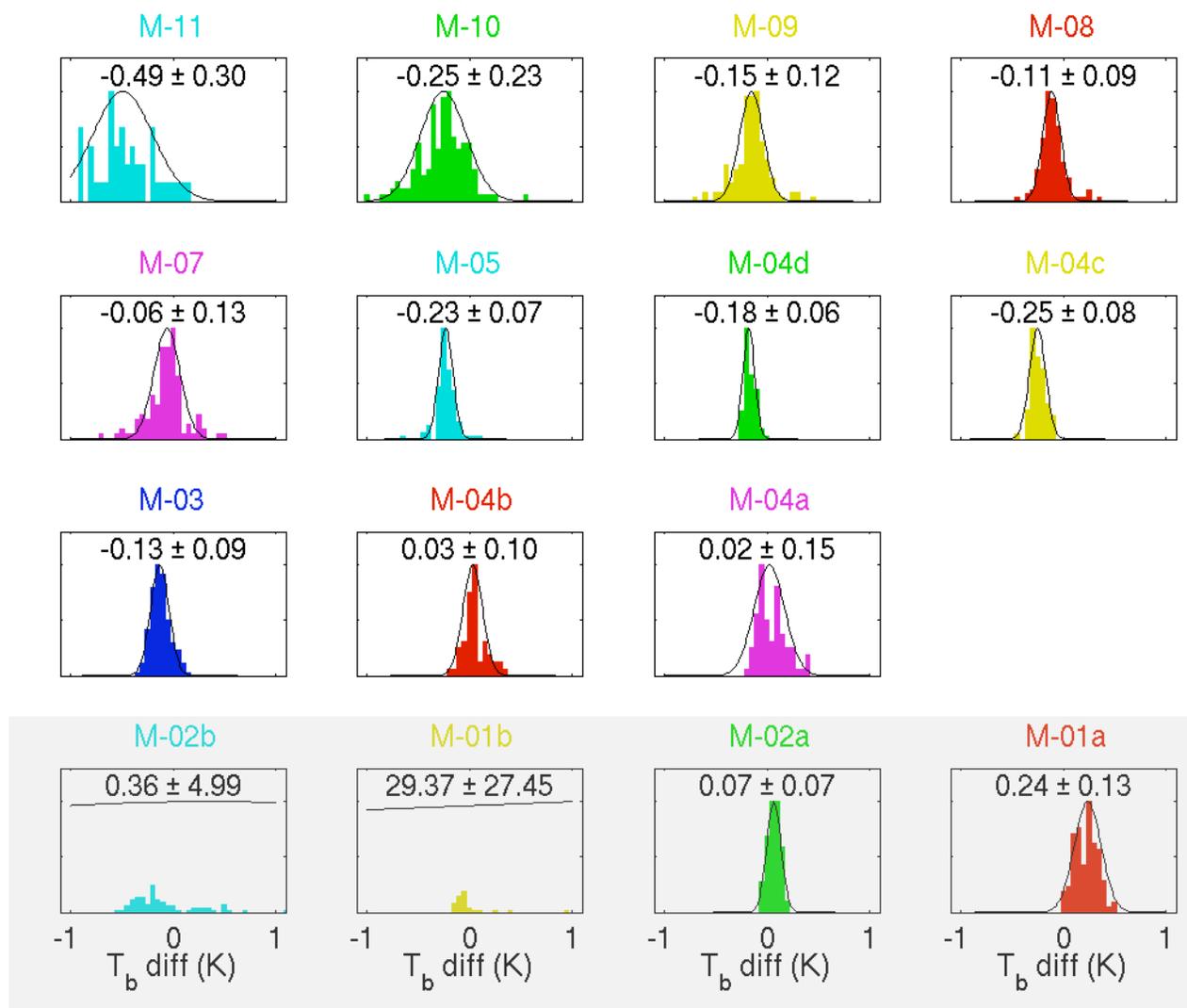
CRAVE Campaign, S-HIS on WB-57 at ~17 km over the Caribbean



# Tropical Validation case: 2006.01.17



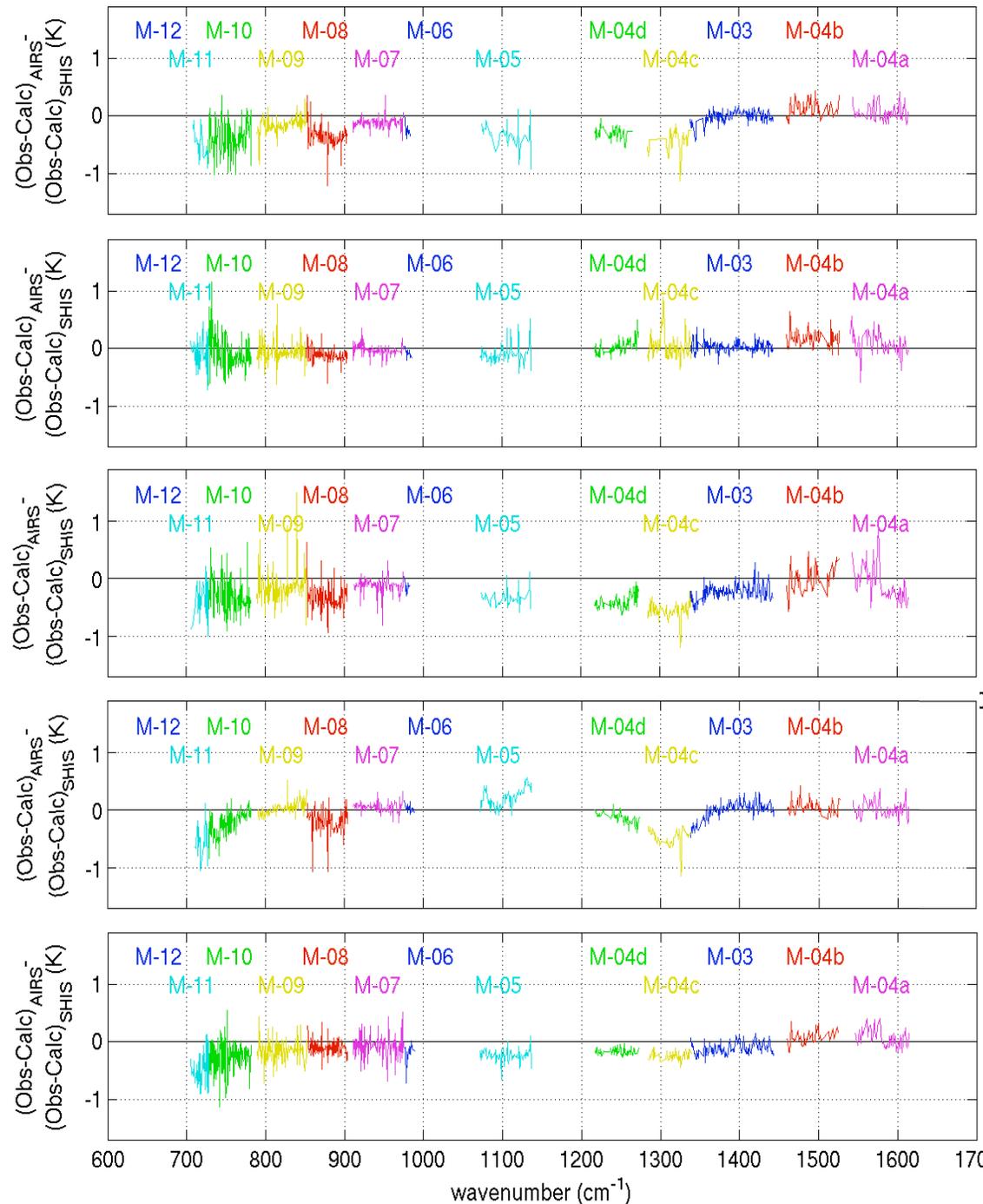
# Tropical Validation case: 2006.01.17



**SW  
Modules**

# AIRS-SHIS Summary

- Radiance validation is remarkably good
- Includes Tropical to Arctic atm.
- Extends over > 3 years
- $\text{HNO}_3$  creates 08, 04c, 04d biases
- Small 05= $\text{O}_3$ ?
- Small LW  $\text{CO}_2$  diffs: above plane contributions?



2002.11.16  
ARM-SGP

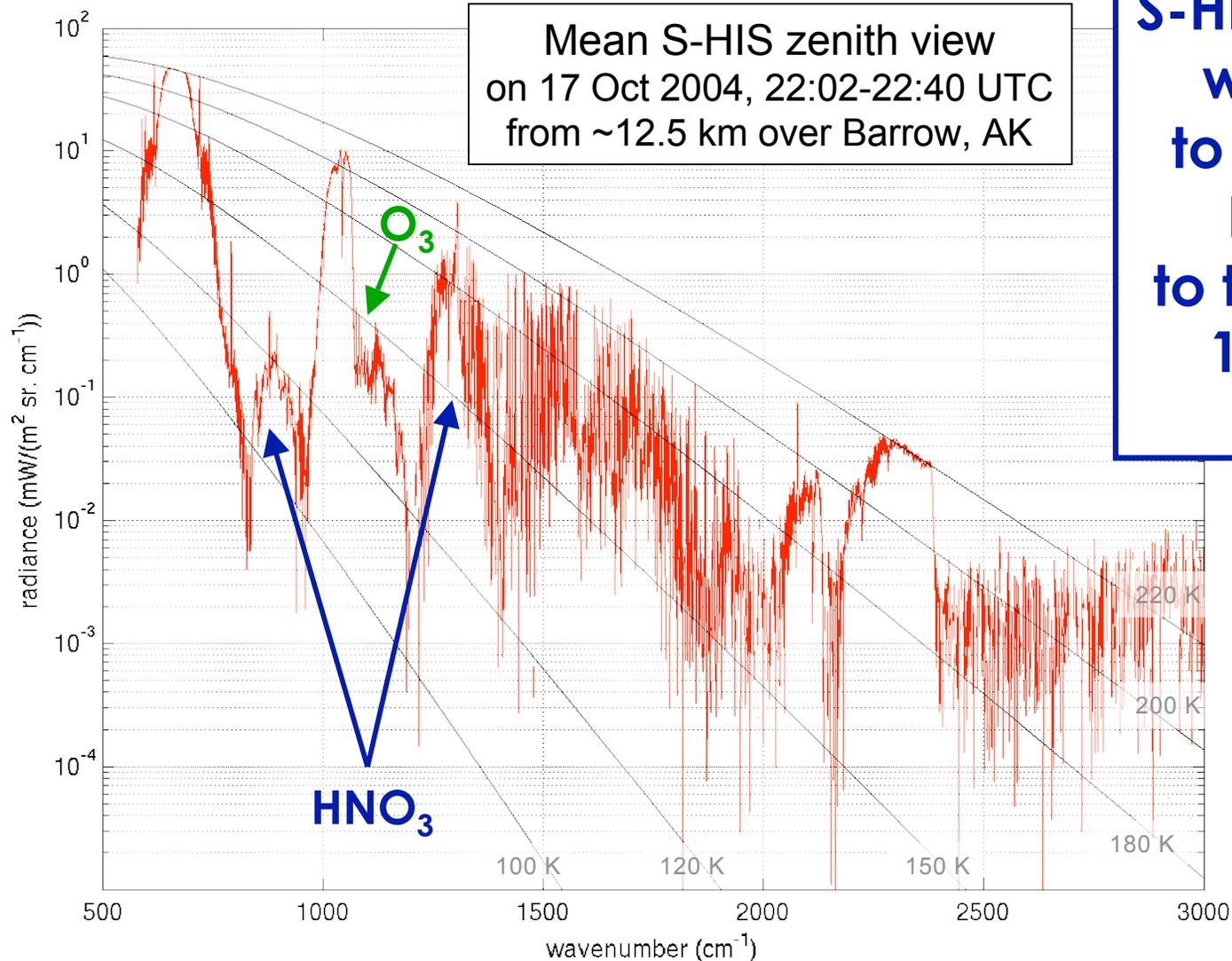
2002.11.21  
Gulf of Mex

2004.09.07  
Italy

2004.10.21  
Arctic

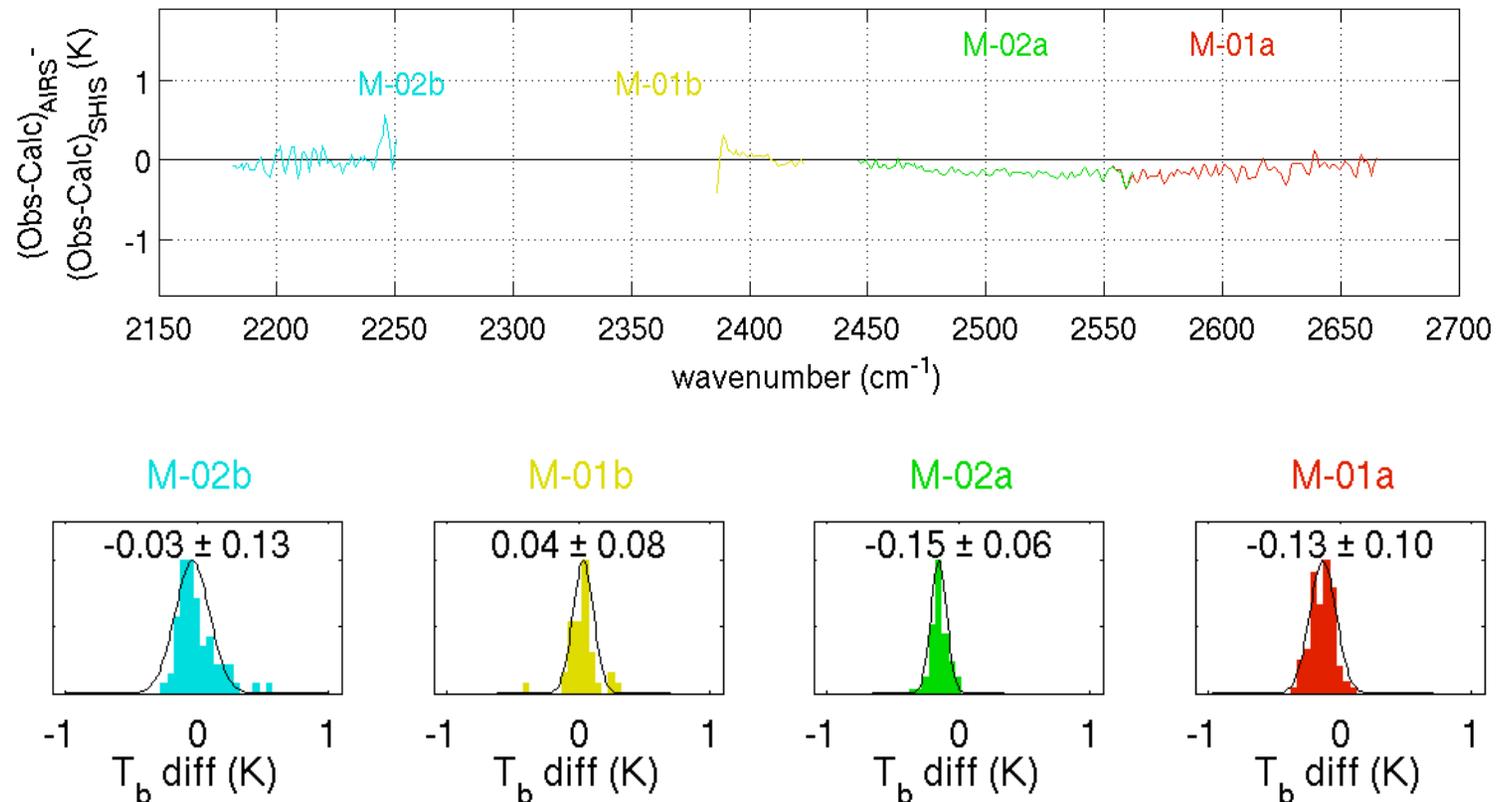
2006.01.17  
Tropical

# S-HIS zenith views are very revealing



**S-HIS zenith view  
will be used  
to account for  
HNO<sub>3</sub> and  
to test the O<sub>3</sub> &  
15 μm CO<sub>2</sub>  
regions**

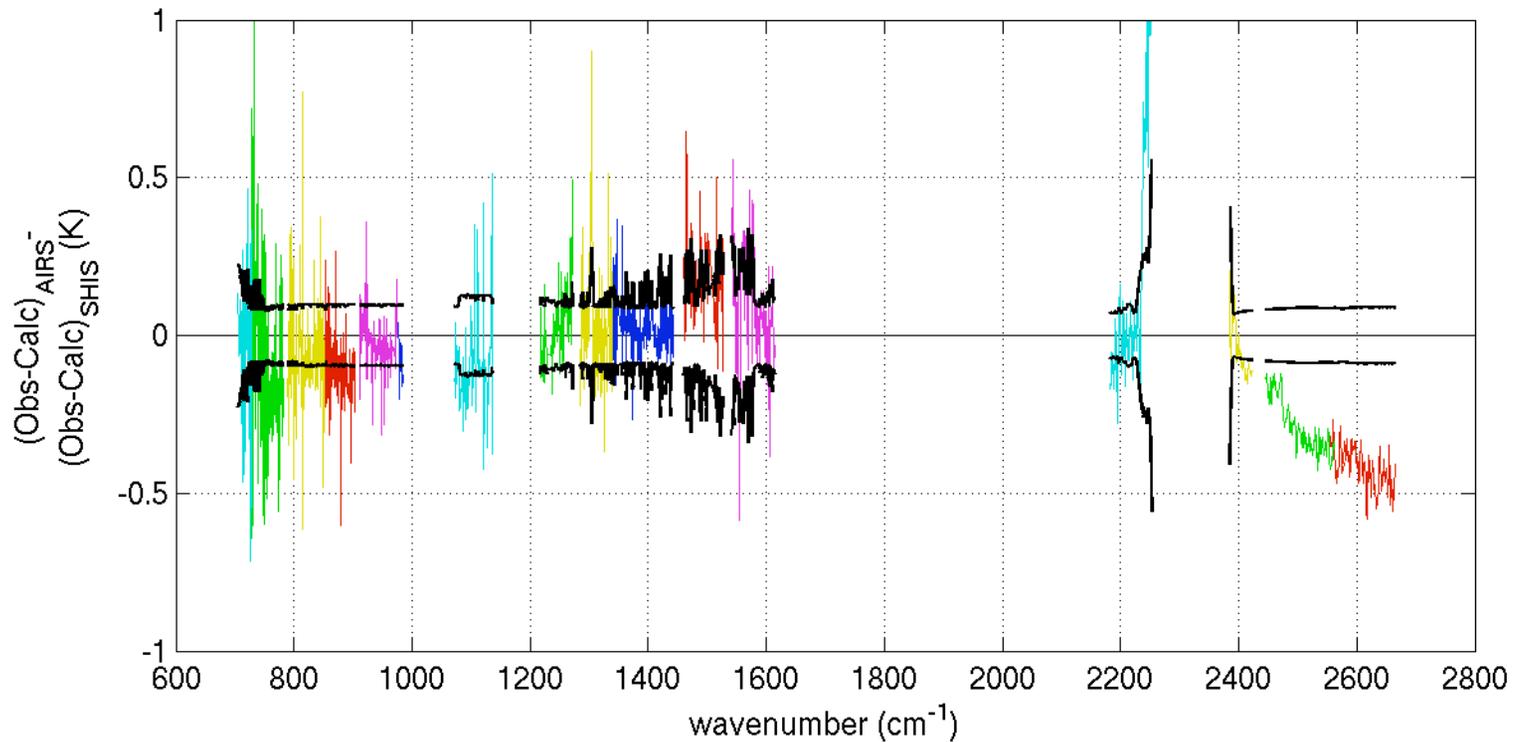
# AIRS-SHIS Summary: SW (2004.09.07)



**1<sup>st</sup> Direct SW Radiance Validation**  
**Excellent agreement for night-time comparison  
from Adriex in Italy**

# Summary of AIRS/SHIS cases

2002.11.21 Differences and S-HIS 3-sigma calibration uncertainty



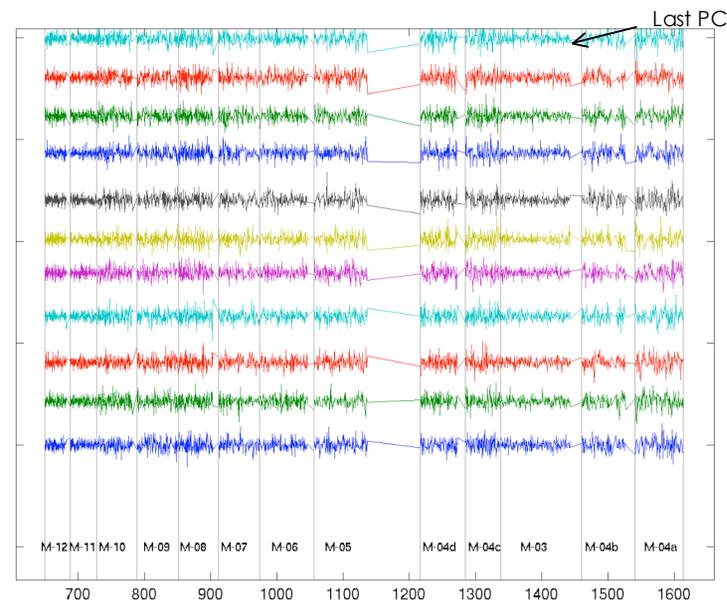
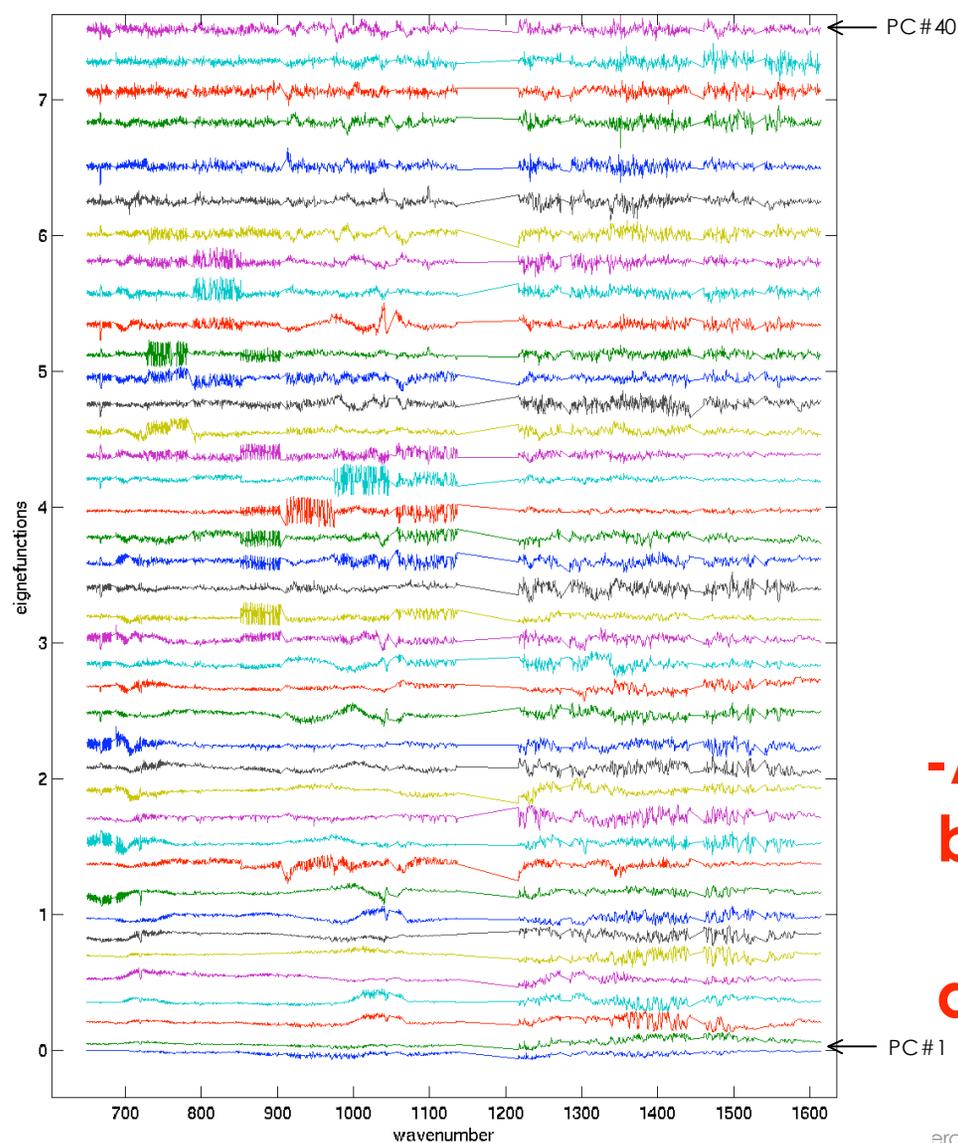


## 4. Artifacts of Individual Spectra

# Non-Random (spectrally correlated) and Temporally Variable Spectral Artifacts

- These are effects that lie in the less understood domain between calibration (long average) and spectrally random, repeatable noise
- Principal Component Analysis (PCA) proves to be a good technique for identifying statistical indicators of these types of effects
- Examining differences from mean observations over uniform scenes reveal more details

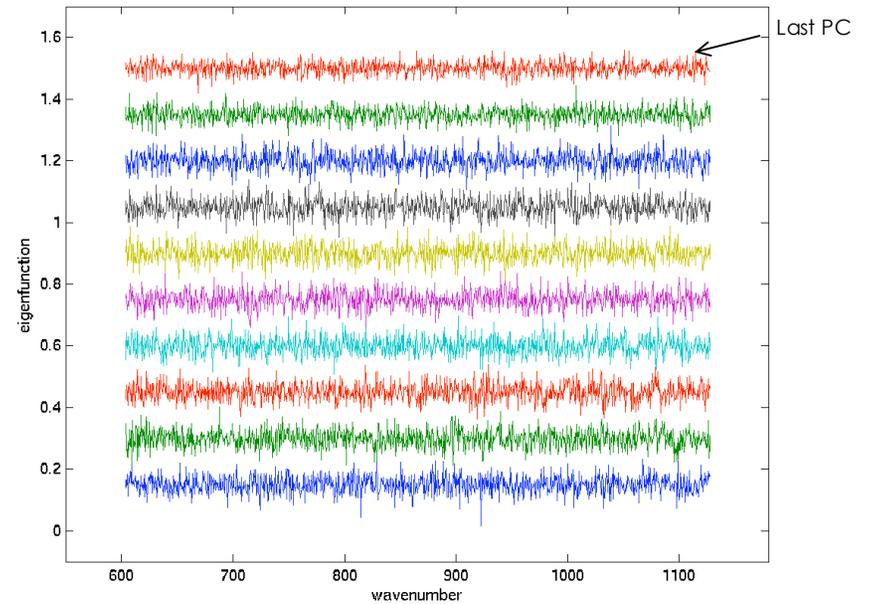
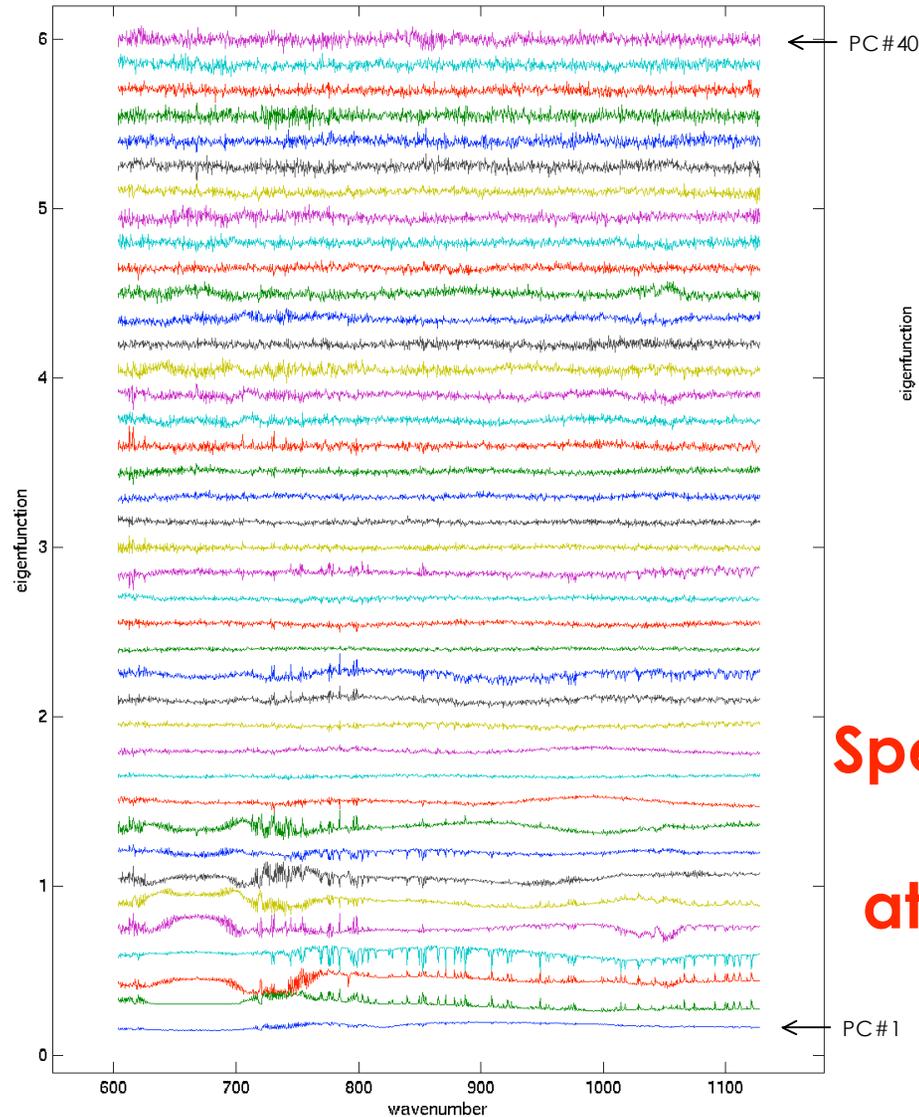
# AIRS eigenfunctions-LW & MW for Granule 2005.04.20.196



**Early PCs look clean**  
**-Array module-to-module  
biases & noise variations  
are apparent  
at low levels (higher PCs)  
from 730-1120  $\text{cm}^{-1}$**

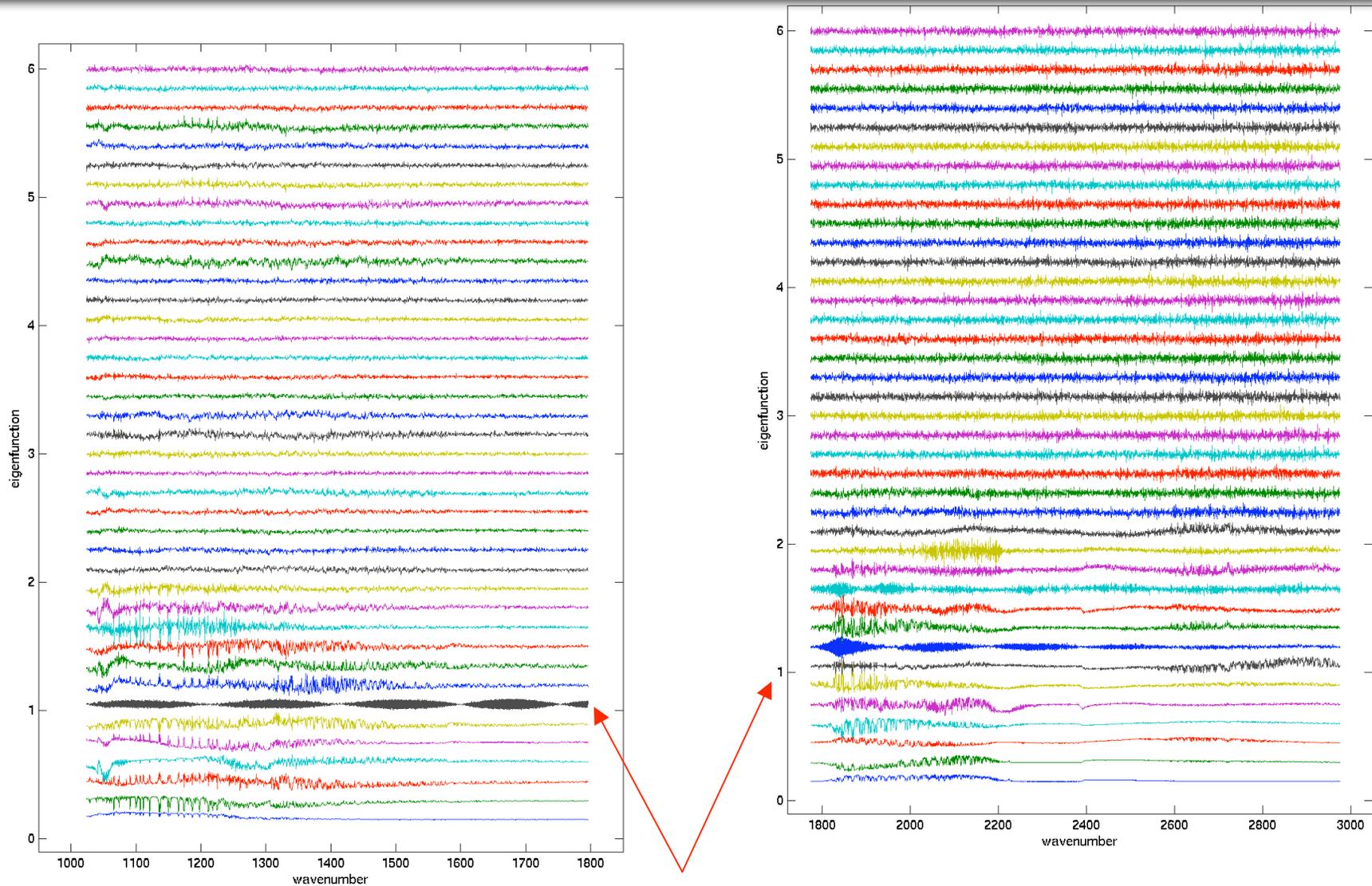
# S-HIS eigenfunctions-LW Band

2006.01.17 CRAVE flight



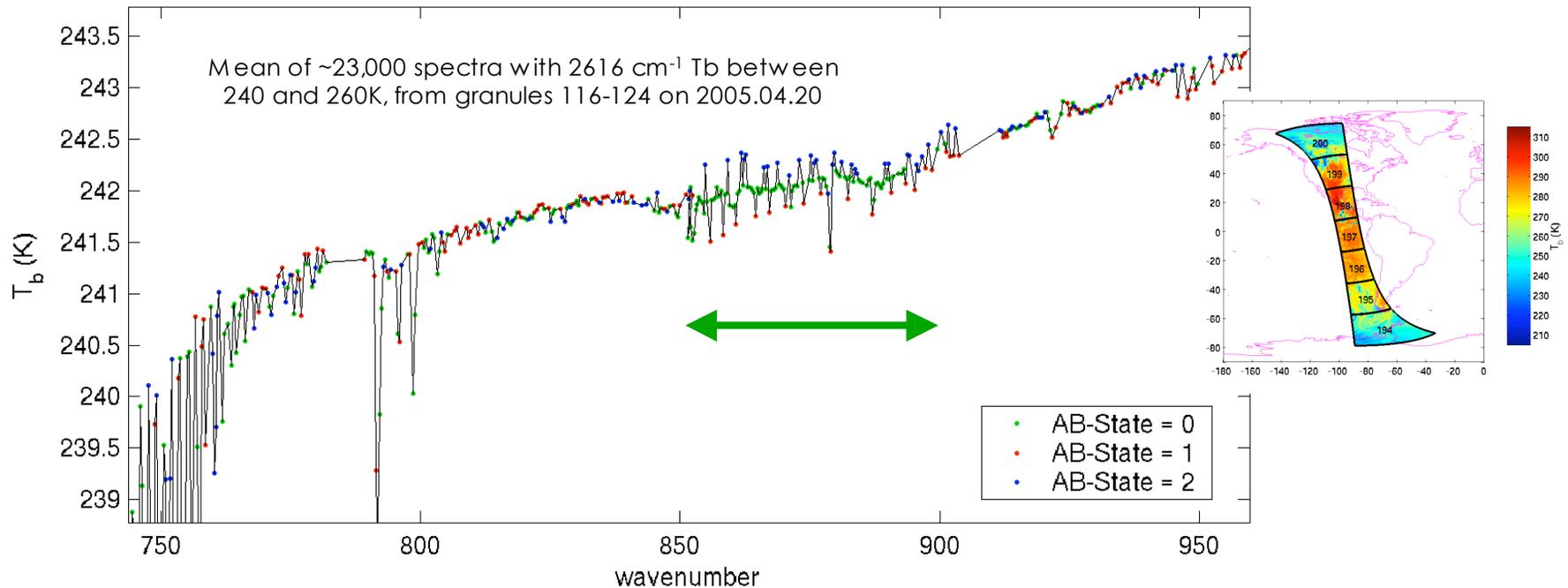
**Spectral signatures are clean,  
indicative of real  
atmospheric characteristics**

# S-HIS eigenfunctions-MW & SW Bands



**Ringings is indicative of processing artifact - easily fixed by roll-off mod**

# A/B-state dependent calibration in M-08



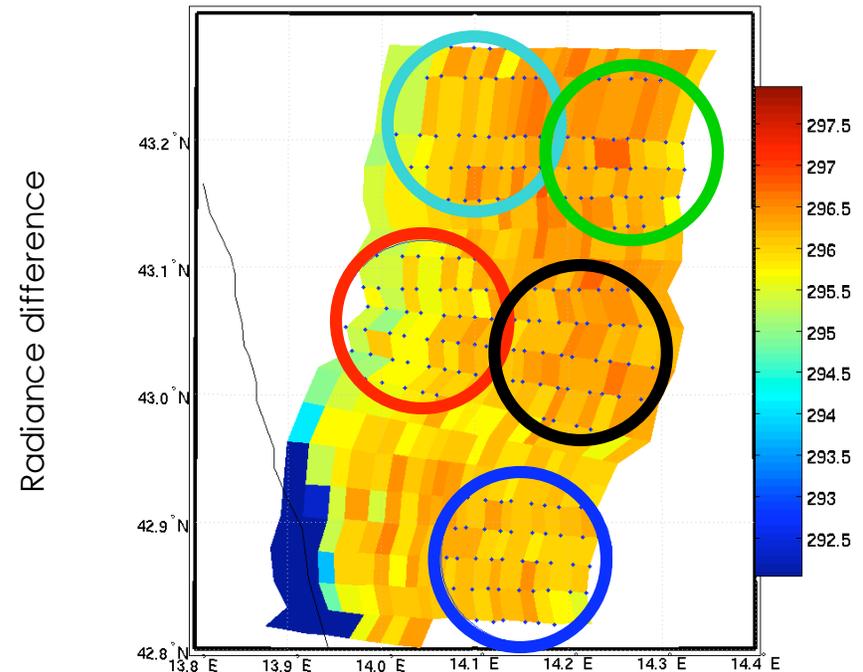
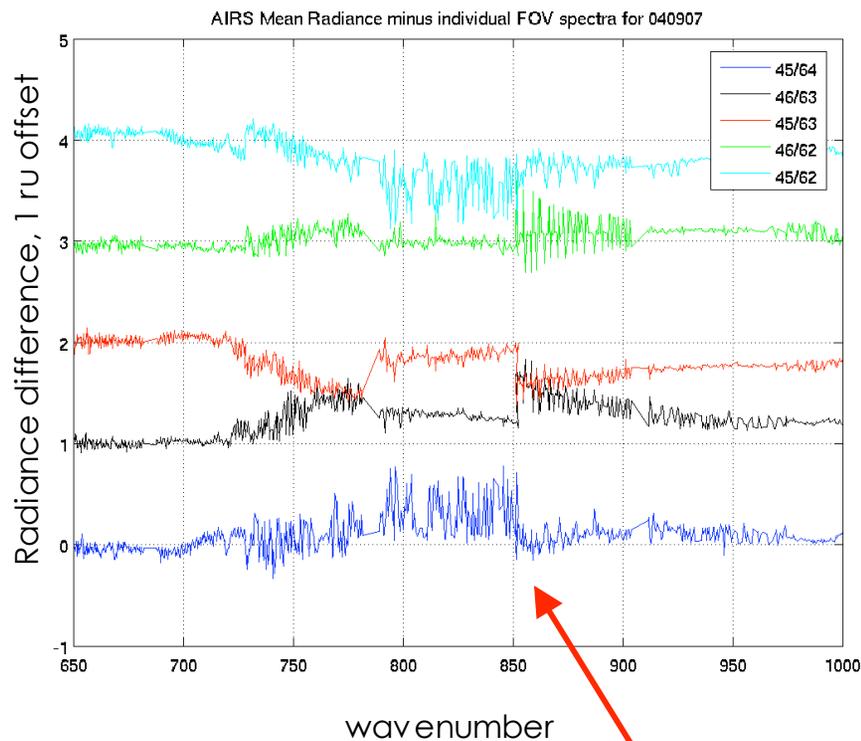
- Similar behavior observed for similar scenes throughout the mission
- Less evident in mean spectra at colder (e.g. Dome C.) and warmer (e.g. clear ocean) scenes.

- Notes

# Variability of Single Spectra, LW region

## 2004.09.07 (Italy)

### AIRS Spectra - mean



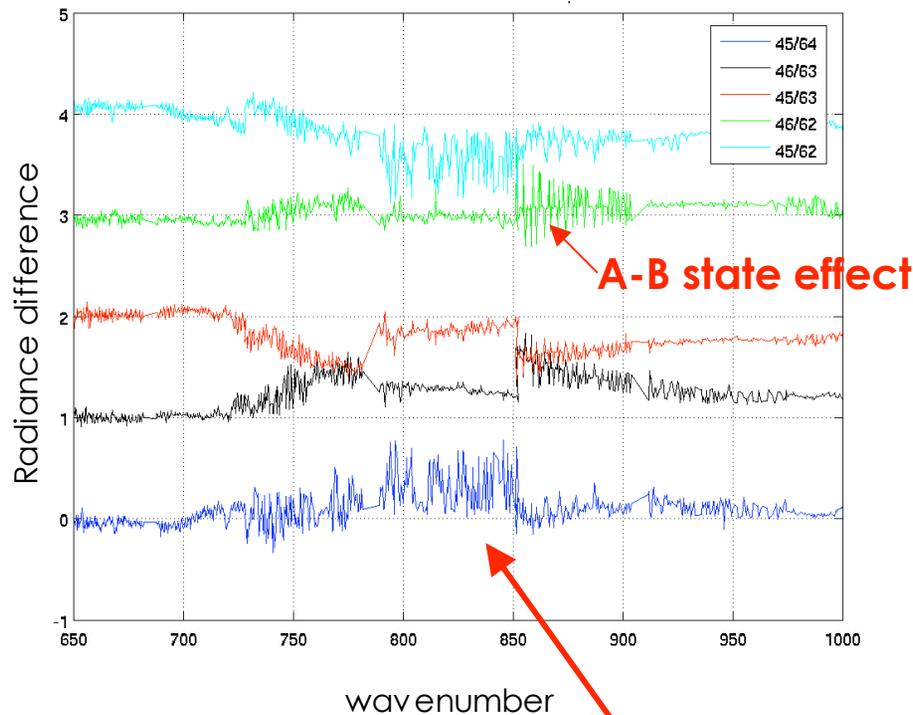
**Note large Spectrum-to-Spectrum jumps ( $\pm 0.4$  K)  
for uniform scene**

# Variability of Single Spectra, LW region

2004.09.07 (Italy)

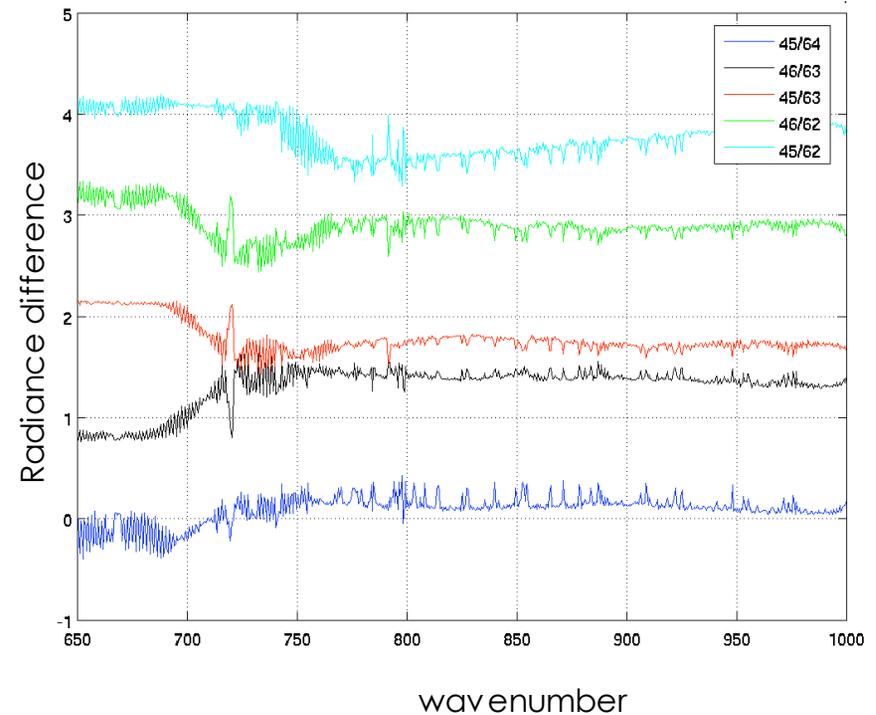
**AIRS**

AIRS, Differences from mean spectrum



**S-HIS**

S-HIS, Differences from mean spectrum



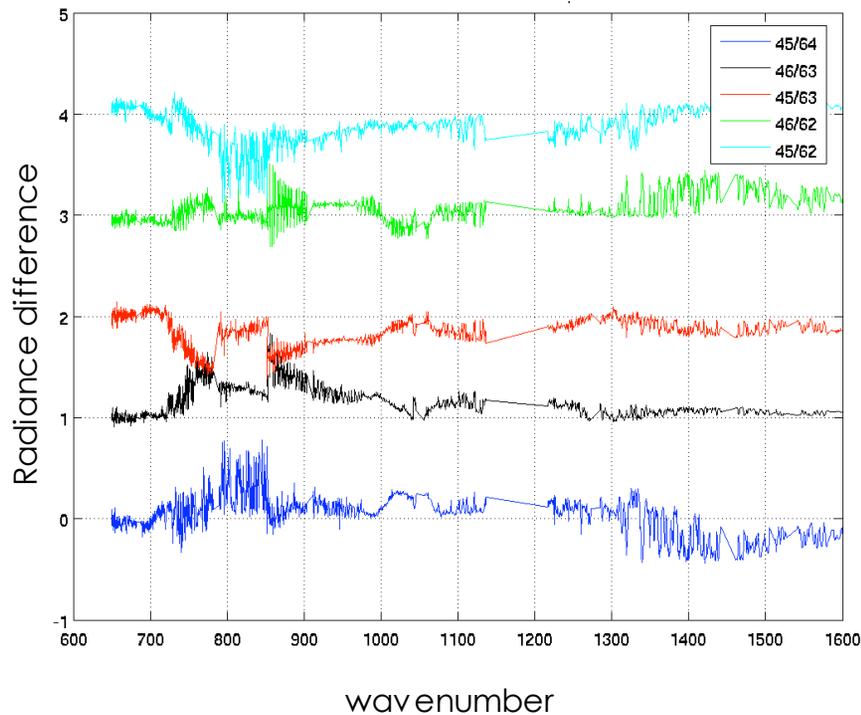
**Smooth & physically reasonable**

**Note large differences in noise ( $\pm 0.4$  K) as well as jumps in M-09**

# SHIS and AIRS Variability, LW and MW regions 2004.09.07 (Italy)

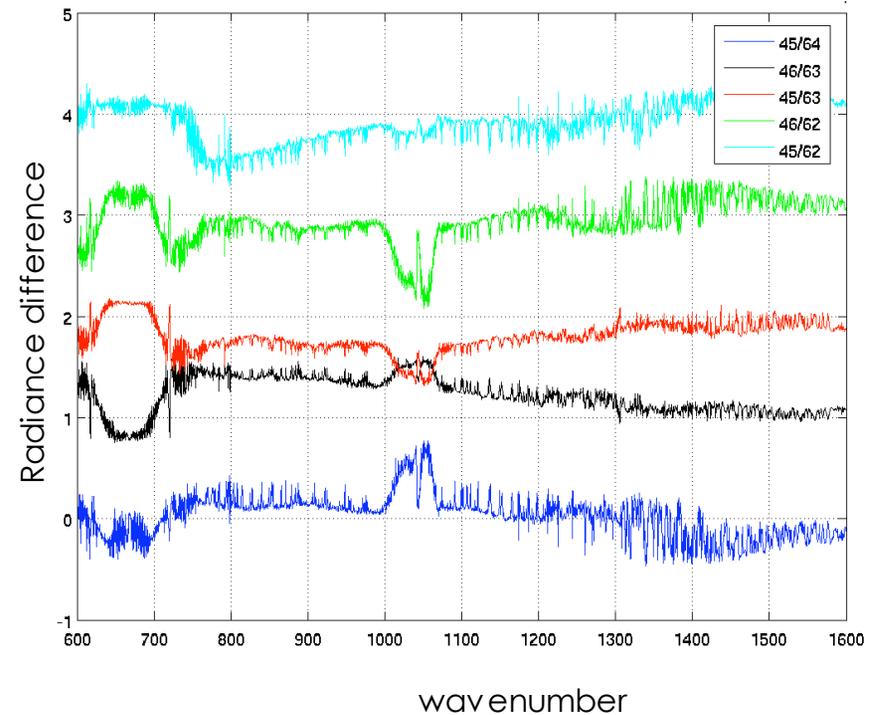
## AIRS

AIRS, Differences from mean spectrum



## S-HIS

S-HIS, Differences from mean spectrum



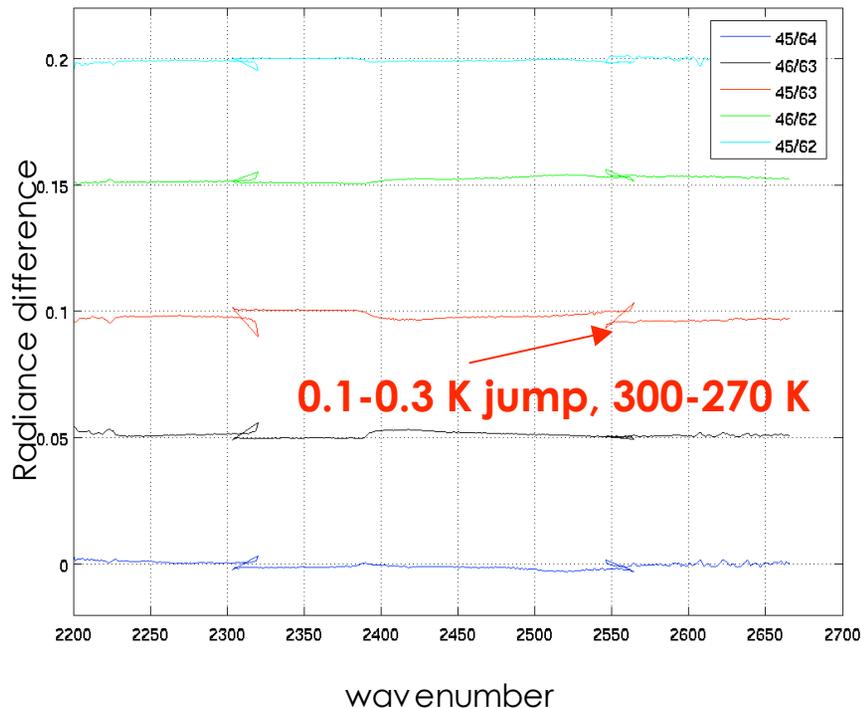
**Generally good agreement in MW region,  
with little sign of module-to-module jumps**

# SHIS and AIRS Variability, SW region

2004.09.07 (Italy)

## AIRS

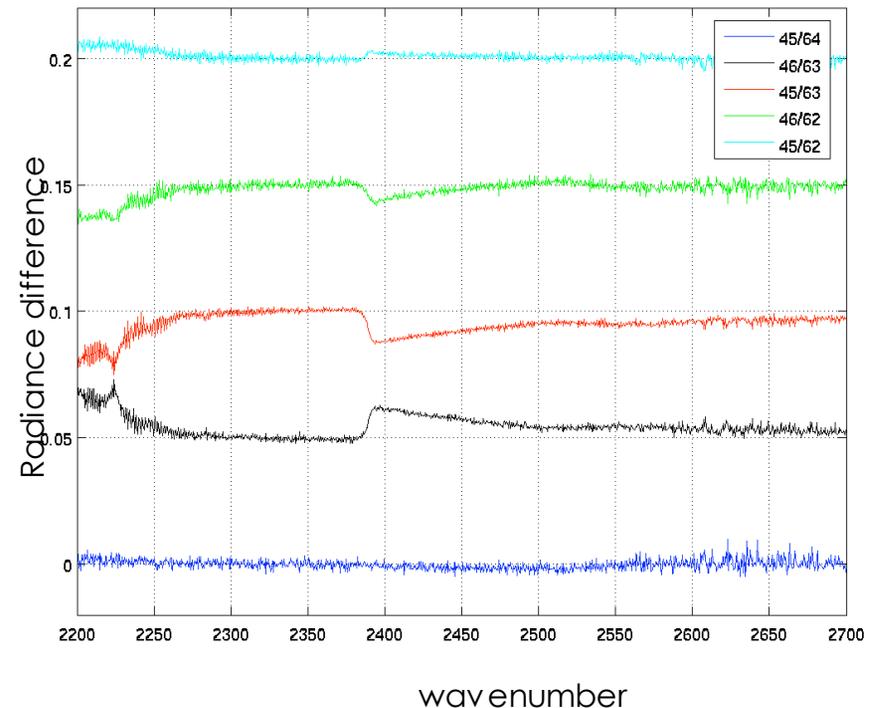
AIRS, Differences from mean spectrum



**AIRS shows low noise, but evidence of significant module-to-module jumps**

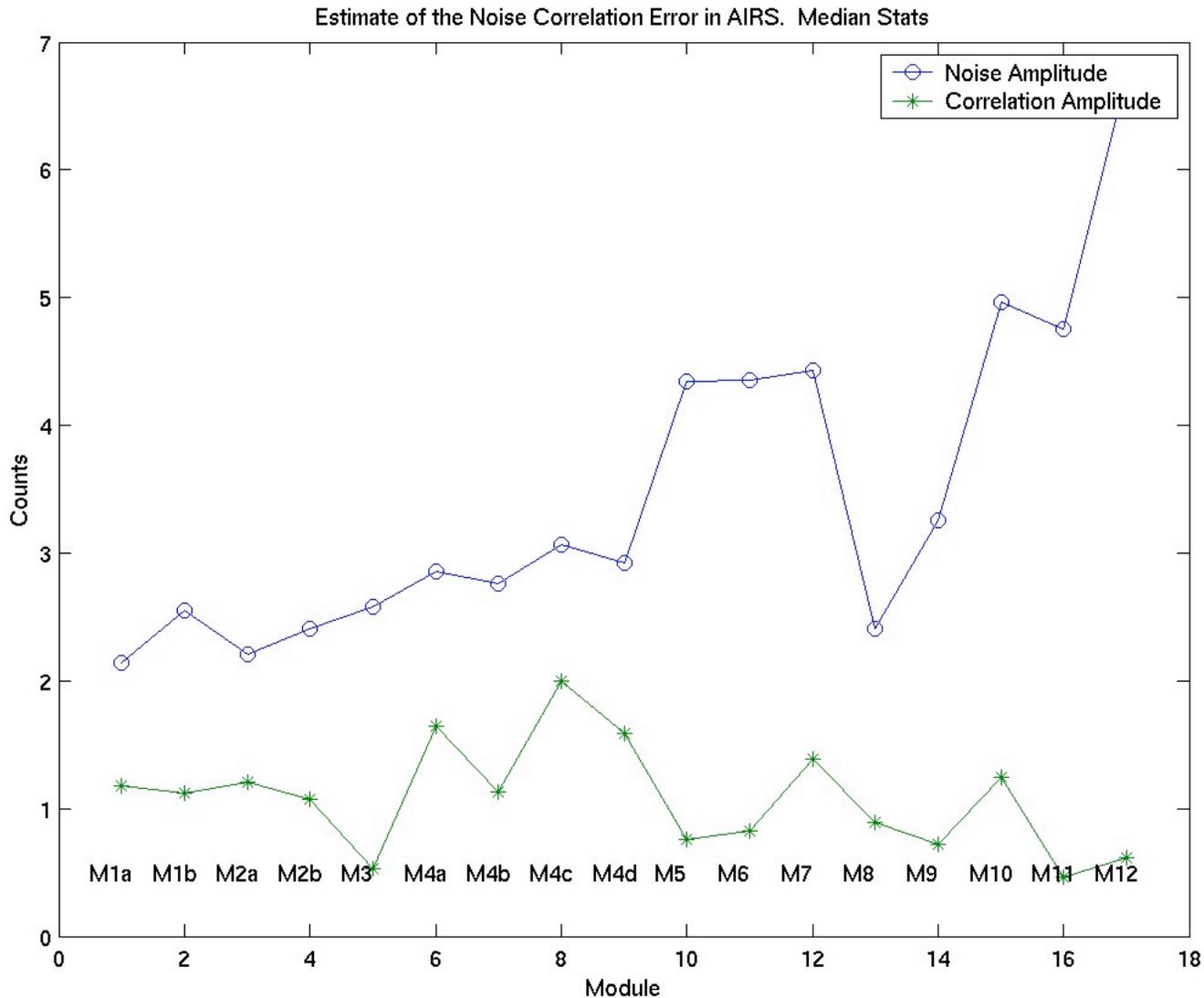
## S-HIS

S-HIS, Differences from mean spectrum



**S-HIS is noisier, but 4x higher resolution & spectrally smooth**

# AIRS Correlated Noise Level by Module as determined by BAE



# Summary of AIRS Radiance Validation for climate

- **S-HIS and AIRS Radiances are in excellent overall agreement**
  - S-HIS Validation of AIRS radiances (averaged over several FOVs) for 5 diverse atmospheres yields mean differences over AIRS modules that are generally < 0.2 K, with many smaller examples
  - HNO<sub>3</sub> above the aircraft explains some larger differences that are expected to be < 0.2 K after further analysis using S-HIS zenith views **(and we need to handle HNO<sub>3</sub> for retrieval. i.e. include it in the forward radiative transfer model)**
  - Other exceptions occur in spectral regions where the above-aircraft influence also needs further analysis (15 μm CO<sub>2</sub> band & M-05 ozone)
- **The expected 3-sigma calibration performance of AIRS should be carefully assessed from parameter-characterization uncertainties to complement this validation record**
- **Validation over the lifetime of AIRS is needed to assure the long-term stability of the AIRS climate record**
- **The value of aircraft observations for direct radiance validation has now been definitively proven**

# Summary of AIRS Single-Spectrum Artifacts

- **The radiometric performance of AIRS at the level of the NEN contains artifacts not described by spectrally and temporally random noise, or by long-term calibration uncertainty**
  - Spectrum-to-spectrum jumps of radiances ( $\pm 0.4\text{K}$ ) for some detector modules do not seem to be atypical
  - Apparent “noise” levels for some modules (after PC filtering) seem to change dramatically from one spectrum to the next (from very small values up to 0.5 K p-p)
  - Module M-08 (at least) suffers from a peculiar behavior related to A/B detector states that seems to be highly variable from one spectrum to the next ( $\pm 0.5\text{K}$ )
- **Principal Component Analyses (PCA) are very valuable at revealing these artifacts; supplementation by inspection of individual spectra seems necessary for more complete characterization**
- **Achieving optimum retrieval performance for cloud clearing and for individual FOVs probably depends on successfully characterizing the temporal and spectral character of this behavior**



## S-HIS Backup Slides

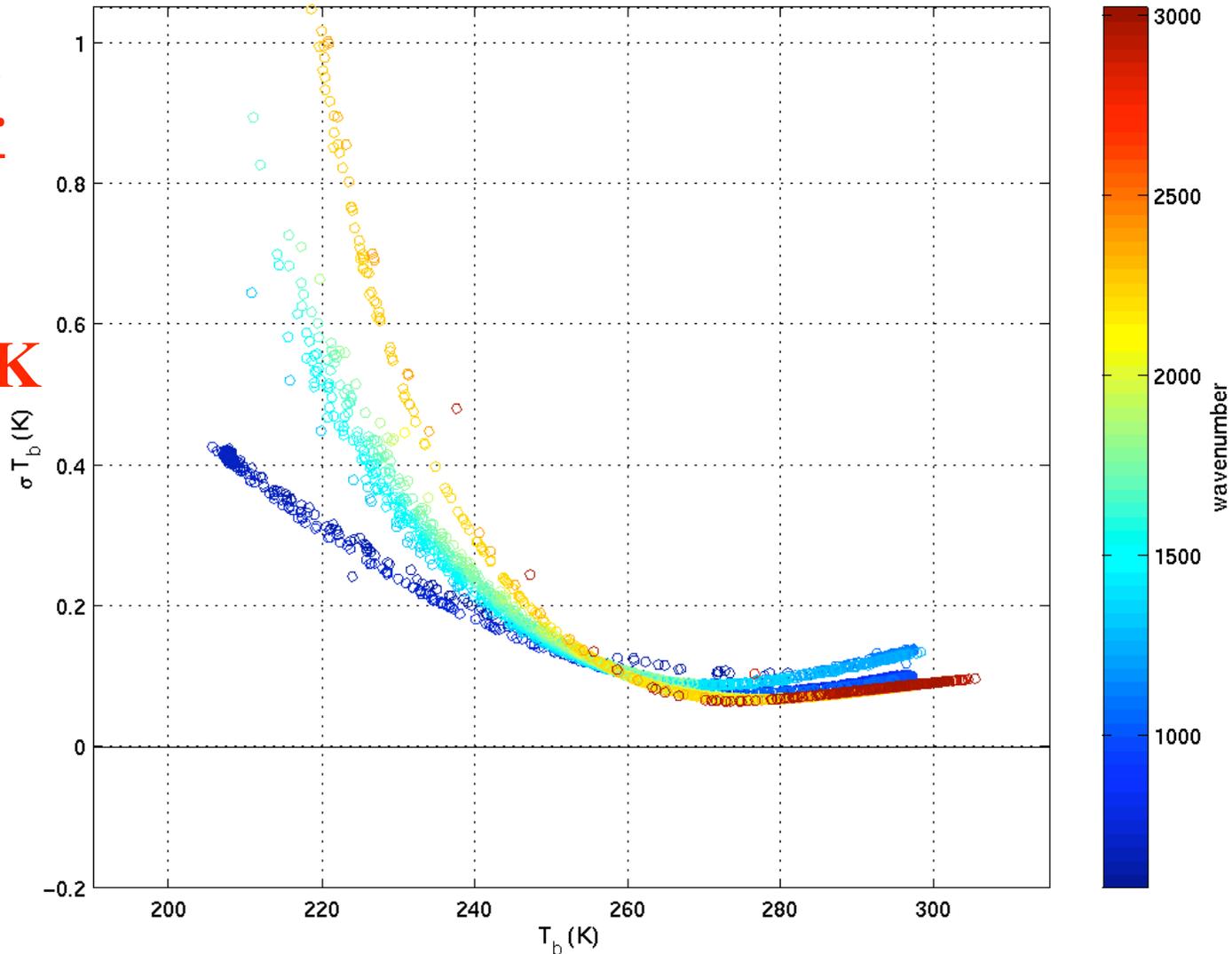
- More Radiometric Calibration
- Non-linearity Correction
- Spectral Calibration and Normalization

# Scanning-HIS Radiometric Calibration Budget

TABB= 260, THBB=310, 11/21/02 ER2

**\*\*3-sigma Uncertainties, similar to Best, et al., CALCON 2003 for AERI**

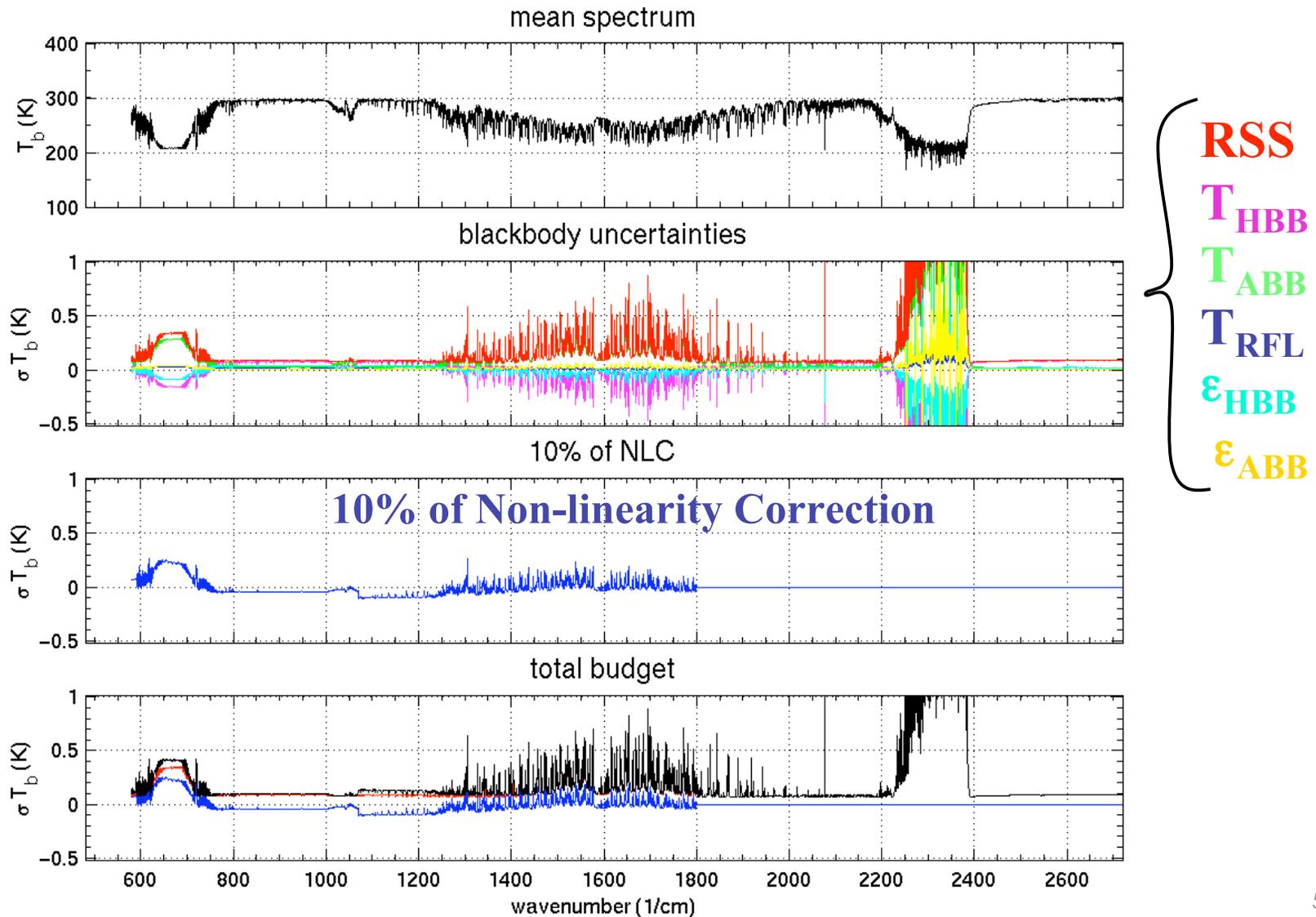
**3-sigma  
Tb error  
< 0.2 K  
for  
Tb >250 K**



# Scanning-HIS Radiometric Calibration Budget

TABB= 260, THBB=310, 11/21/02 ER2

**\*\*3-sigma Uncertainties, similar to Best, et al., CALCON 2003 for AERI**



# Non-linearity Correction

- Physical model is basis for correction needing one key coefficient per band
- Band-to-band overlaps are used to constrain the LW and MW band coefficients
  - SW band detector is highly linear, allowing SW overlap with MW to constrain or test the MW non-linearity
  - MW overlap with LW can then constrain or test the LW non-linearity
- Up-looking constraints also used to refine non-linearity coefficients and their uncertainties

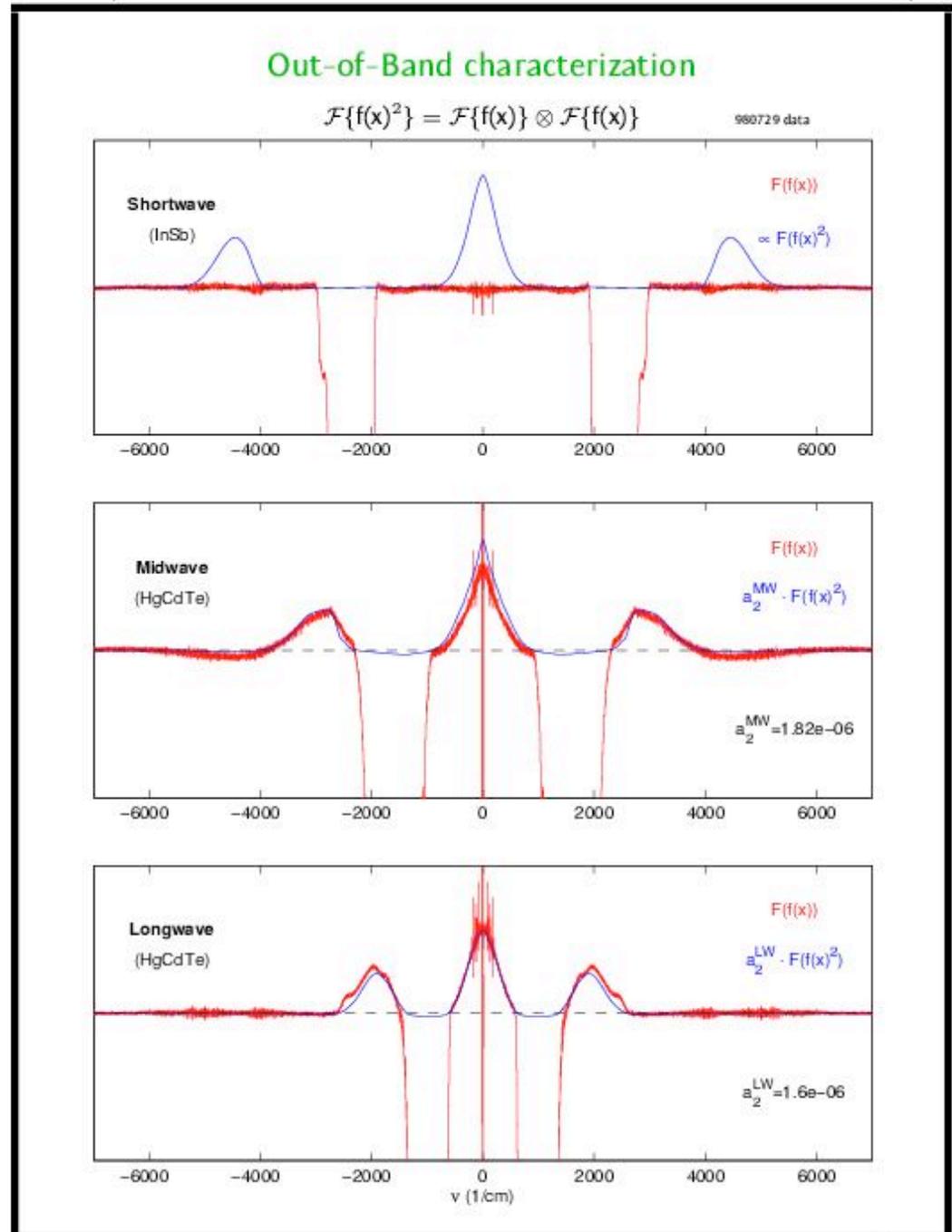
## Example Non-linearity: NAST Aircraft Instrument

Out of band response is a good test of linearity & helps define correction

Photo-voltaic InSb detector demonstrates expected high degree of linearity in SW

Photo-conductive HgCdTe demonstrates expected non-linearity in MW & LW

Supports expected quadratic non-linearity of PC detectors



# Physical Non-linearity Model, General Principle

- HgCdTe detector theory predicts

$$Q = c_1 \Delta n + c_2 (\Delta n)^2 + c_3 (\Delta n)^3$$

where  $Q$  is the incident photon flux density and  $\Delta n$  is the photo-generated conduction band electron concentration. (Marion B. Reine, 1979)

- The measured signal,  $I_m$ , is proportional to  $\Delta n$ , and the corrected linear signal,  $I_c$ , is proportional to  $Q$ :

$$I_c = I_m + a_2 (I_m)^2 + a_3 (I_m)^3$$

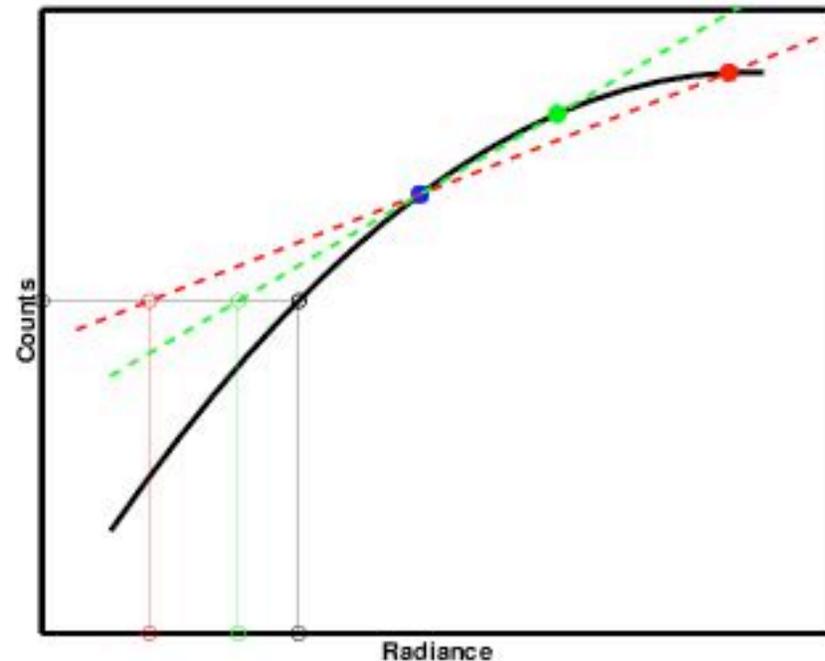
- Separating  $I_m$  into an AC interferogram,  $f(x)$ , and a DC offset,  $V$ , gives:

$$I_c = (f + V) + a_2 (f + V)^2 + a_3 (f + V)^3$$

## Primary Term-Linear in Spectrum

$$\rightarrow \tilde{I}_c = (1 + 2a_2 V + 3a_3 V^2) \tilde{f} + (a_2 + 3a_3 V) \tilde{f}^2 + a_3 \tilde{f}^3$$

$$\rightarrow V = \frac{V_a}{e} + \frac{V_a}{e} \frac{k+2r_{dw}}{\frac{B_a}{B_i} (t_{fw} + r_{fw} - r_{dw})}$$



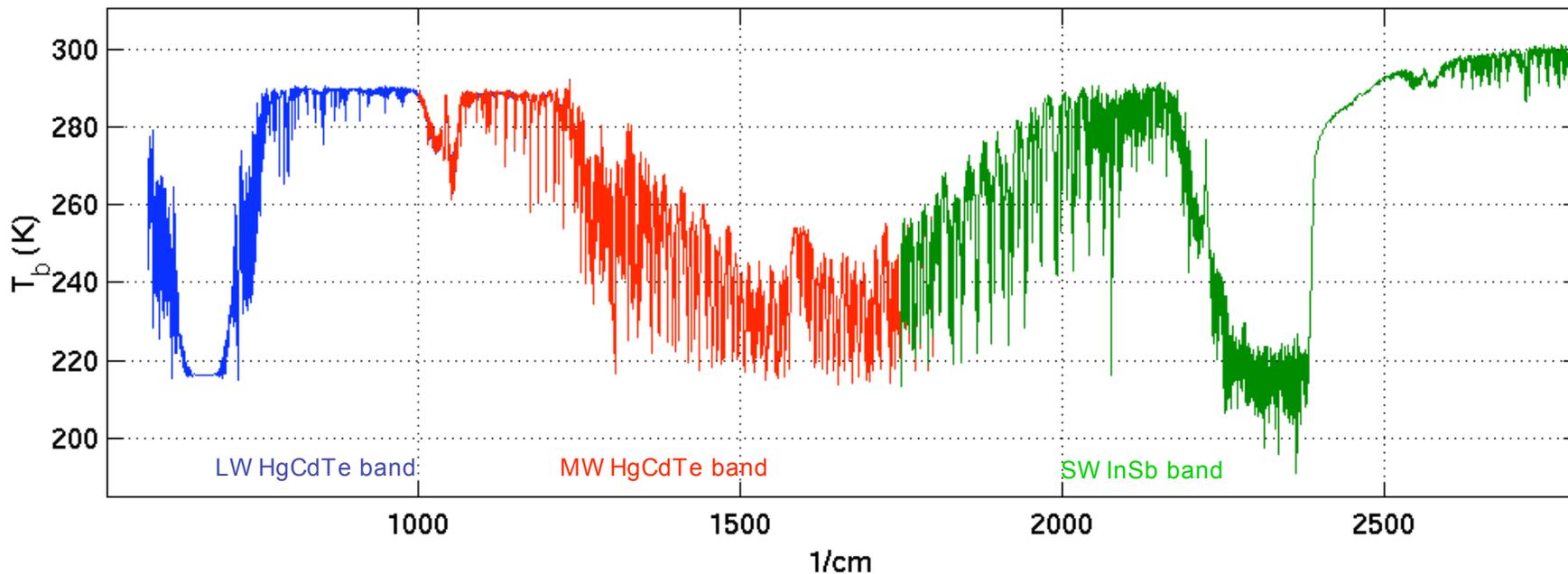
$a_2$  determined from

- Out of Band:  $\tilde{I}_c = 0 \rightarrow |a_n| = \tilde{I}_m / \tilde{I}_m^n$
- uplooking clear sky comparisons with AERI
- in-flight clear sky comparisons with HIS
- comparisons with external blackbodies

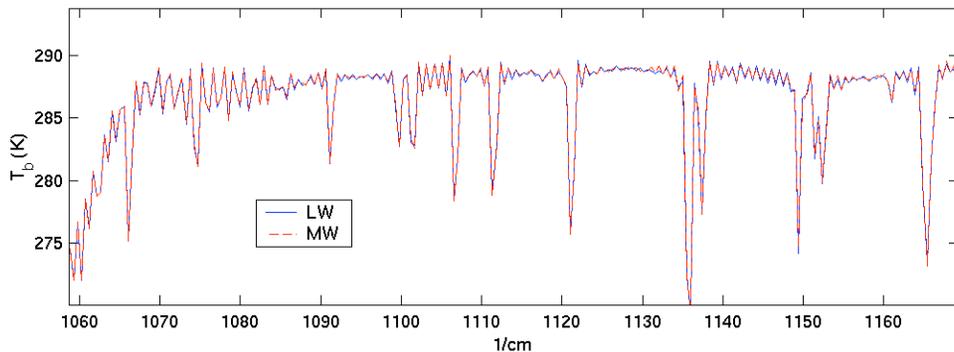
Correction applied before Complex Radiometric Calibration

# Scanning-HIS LW/MW and MW/SW Band Overlap

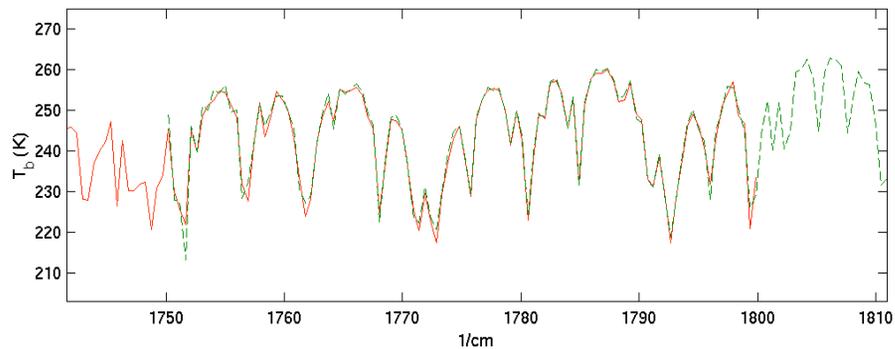
11-16-2002



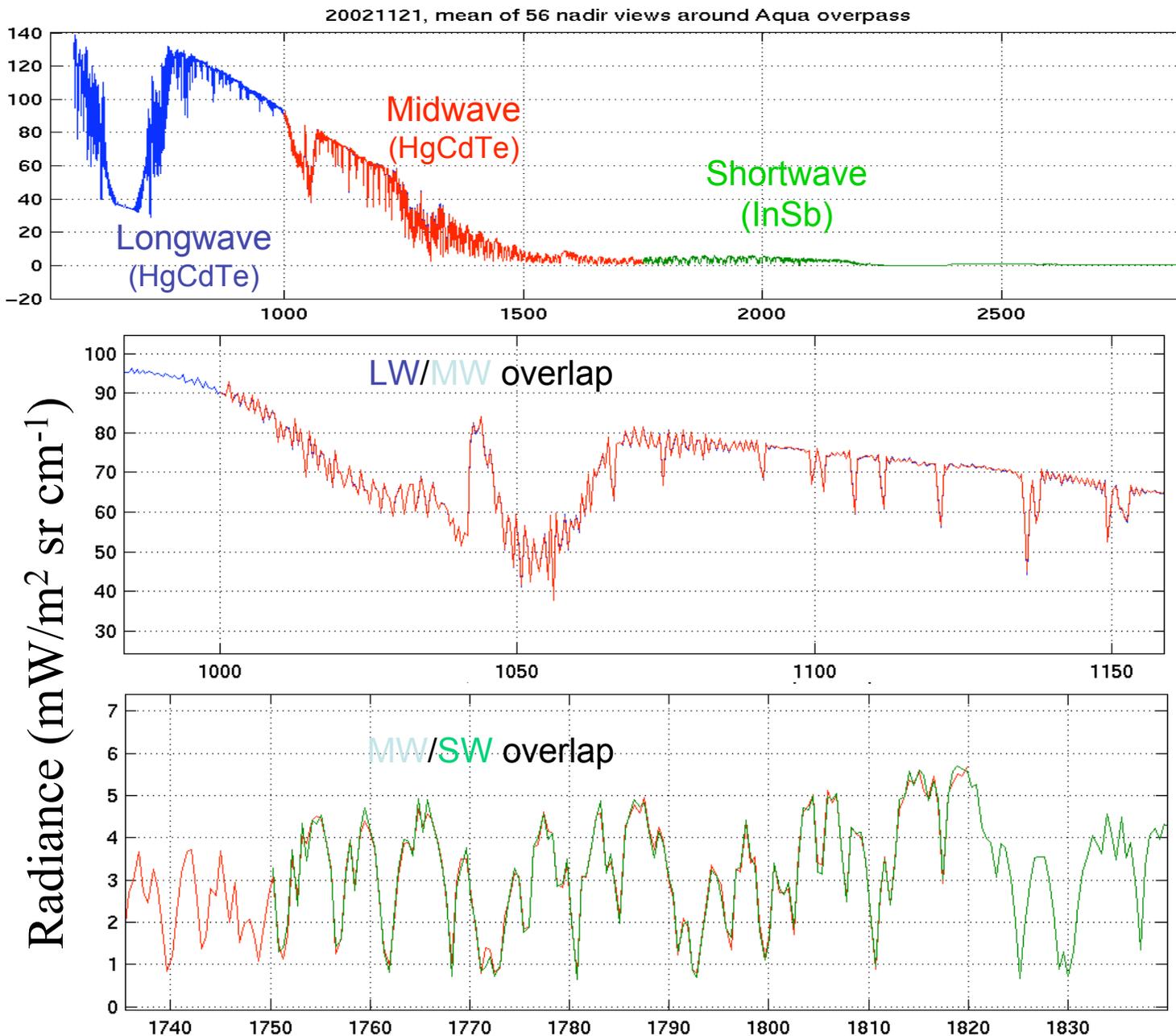
LW/MW overlap



MW/SW overlap



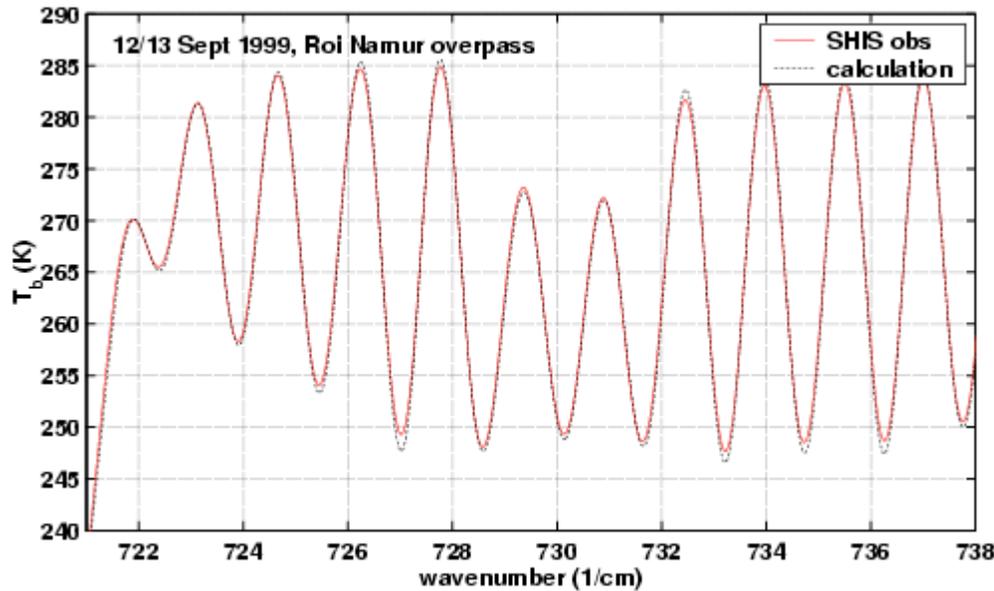
# Scanning-HIS Band Overlap Agreement



# Spectral Calibration and Standardization

- FTS approach determines the spectral scale for a whole spectral band to within a single multiplicative “scale-stretching” factor
- The factor is a function of the reference laser wavelength, and the alignment of the laser & IR beams to the interferometer axis, all of which are very stable, even without thermal control
- **Spectral calibration uses well-known regions of calculated atmospheric spectra off-line & infrequently**
- **Instrument Line Shape is normalized to an ideal sinc function based on known geometry and refinement using atmospheric nitrous oxide lines near 2195 cm<sup>-1</sup>**

# Example Spectral Calibration: S-HIS

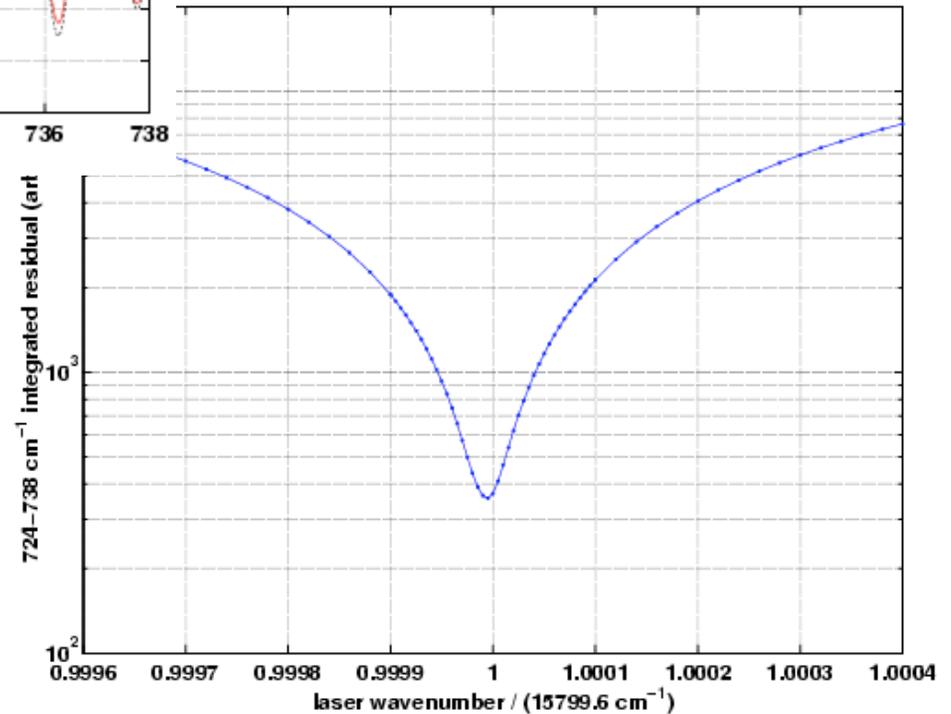


Atmospheric CO<sub>2</sub> lines

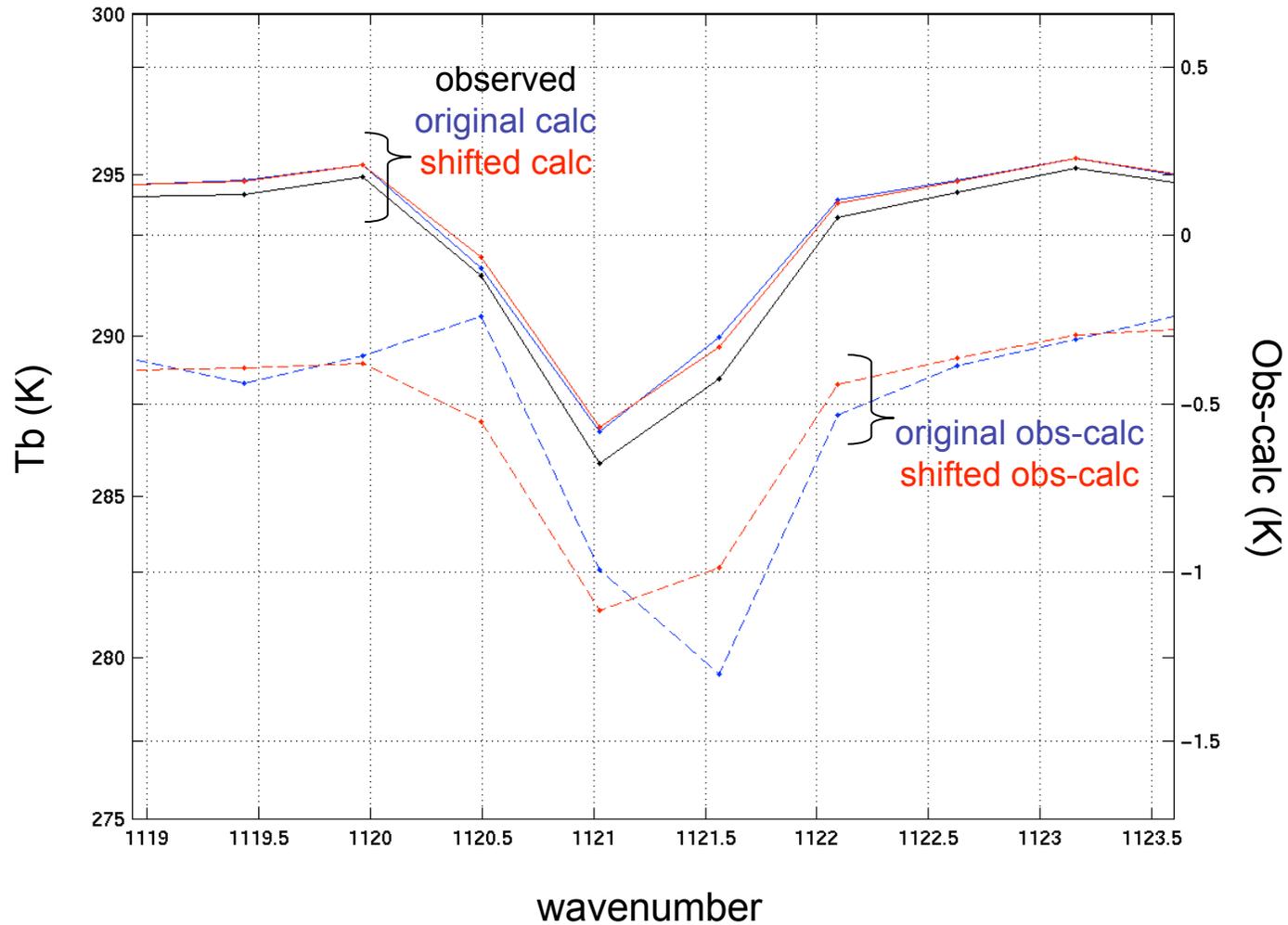
Wavenumber Scale chosen  
to minimize difference

Estimated accuracy = 1.2 ppm  
(1 sigma)

With many samples,  
the accuracy is even higher



# Small Spectral Shift (3% of resolution) in AIRS Module-05 identified from S-HIS Validation



Tobin, et al., CALCON 2003, presented S-HIS Spectral Calibration

# standardize the Instrument Line Shape (ILS)

Self-apodization function is expanded in a Taylor Series to separate OPD and  $\nu$  dependence, allowing rigorous relationships in terms of Fourier transforms

- In expression for the measured interferogram,  $F(x)$ , expand sinc function as a power series of  $(\pi\nu x b^2/2)$ :

$$F(x) = \int d\nu N(\nu) e^{i2\pi\nu x} - \frac{(\pi x b^2 / 2)^2}{3!} \cdot \int d\nu N(\nu) \nu^2 e^{i2\pi\nu x} + \frac{(\pi x b^2 / 2)^4}{5!} \cdot \int d\nu N(\nu) \nu^4 e^{i2\pi\nu x} - \dots$$

- Compute perturbation terms and subtract from measured interferogram.

This process is used for AERI, HIS, S-HIS, NAST-I

# Spectral Scale Standardization

- Producing instrument-independent spectra requires interpolation from the specific instrument scale (determined by spectral calibration) to a standardized scale
- AERI, HIS, S-HIS and NAST processing implements this interpolation following the self-apodization correction.  
[A densely sampled spectrum, from which linear interpolation can be performed accurately, is constructed by double FFT (FFT calibrated spectrum to interferogram, zero fill to a large effective optical path difference, FFT back to a densely sampled spectrum, and linearly interpolate)]